

Data Infrastructure Grants Year Two Accomplishments and Future Plans:

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National Data Infrastructure Grant Meeting

Mayflower Hotel, Washington, DC

December 4-5, 2003

URS Tables: Who? receives What? with what Effect? And at what Cost?

- URS Tables focus on key concerns addressed by CMHS, the States, and MHSIP:
 - WHO receives state mental health agency services? The number of persons served and their characteristics
 - WHAT do they receive? What types of services, what Evidence-based practices?
 - What EFFECT? Do they have jobs? Where do they Live? How do Consumers Evaluate the care they receive?
 - What COST? What do states spend, where do they spend it? And how do they finance it?

URS Table and The President's New Freedom Commission Goals

- 2.2 Involve Consumers and families fully in orienting the mental health system toward recovery.**

- 3.1 Improve access to quality care that is culturally competent**

- 3.2 Improve access to quality care in rural and geographically remote areas.**

URS Table and The President's New Freedom Commission Goals

- 4.3 Screen for co-occurring mental health and substance abuse disorders and link with integrated treatment strategies**

- 5.2 Advance evidence-based practices**

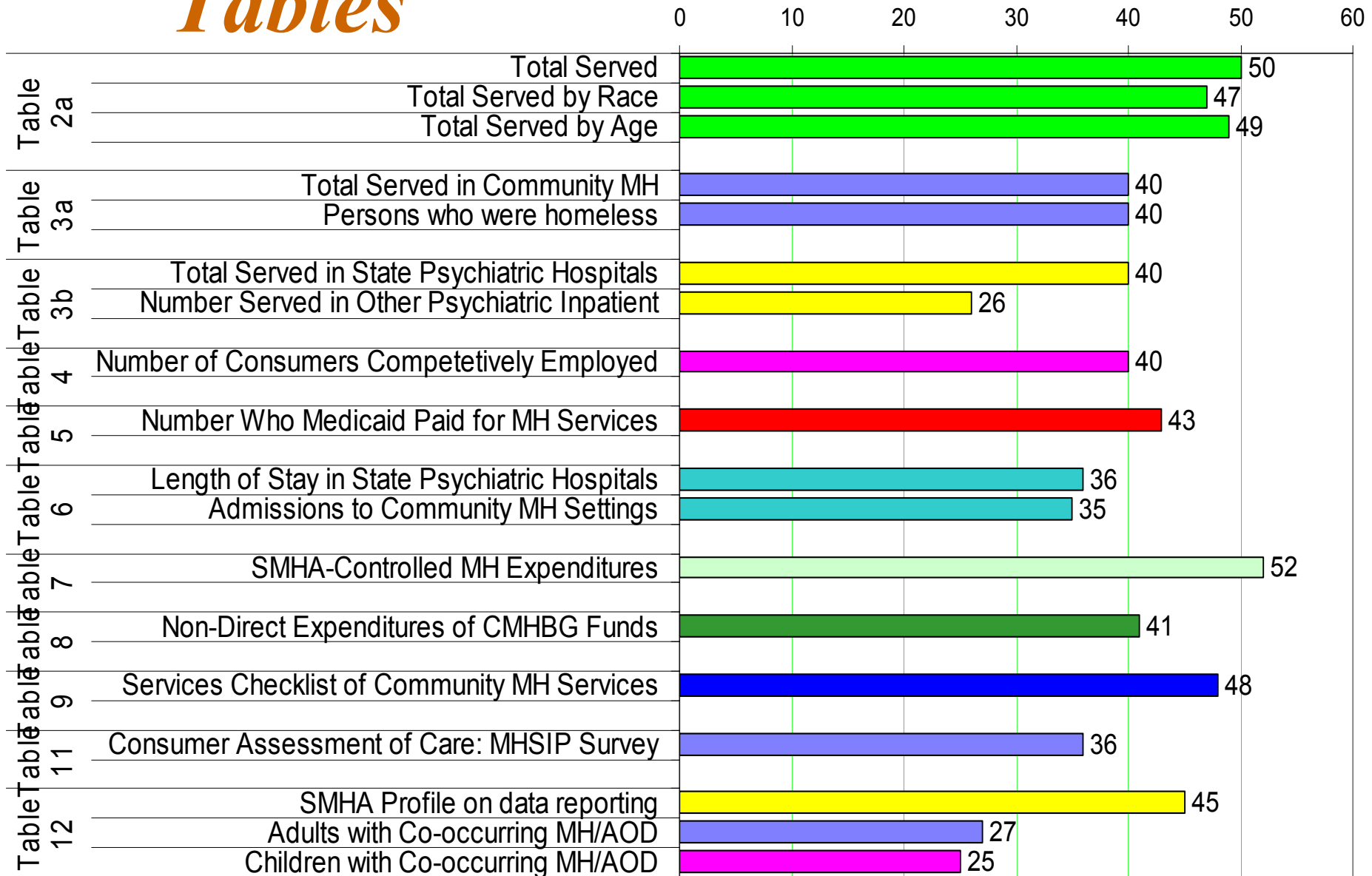
- 6.1 Use health technology and telehealth to improve access and coordination of mental health care, especially for Americans in remote areas or in underserved areas or in underserved populations.**

Year 2 URS/DIG

Accomplishments

- Refined Basic Table Definitions and Guidelines
- Developed Operational Definitions for Developmental Tables for Testing/Reporting
- Developed Output Reports Using URS Data
- Provided Technical Assistance to States
 - Focus on Conducting Consumer Surveys

Year 1 Reporting of URS Basic Tables



Year 2 Activities: Basic Tables

Based on State Experiences in Year 1 Reporting
(2002 Data)

- Refined definitions of Homeless Table 3a (to status at most recent assessment instead of at any point in time)
- Refined Reporting on Medicaid Funded Care (Table 4)
- Addressed state issues in Reporting on Admissions, Discharges, and Length of Stay (Table 6)
- Revised Reporting of Checklist of Services to Capture Urban/Rural Issues (Table 9)

Year 2 Activities: Basic Tables

Developed State Level Output Reports

- Reports show individual state results on major indicators and national averages
 - By Age, Gender, Race & Ethnicity where available
- Reports were sent to each state for review
 - Updated Reports have been created for each state
- CMHS Has decided to post state level reports on the SAMHSA Website
 - SDICC will send reports back to states for final review before posting to the SAMHSA website



ACCESS DOMAIN: TABLE 1.

DEMOGRAPHIC CHARACTERISTICS OF PERSONS SERVED BY THE STATE MENTAL HEALTH AUTHORITY FY 2002

	Total Served				Penetration Rates		
	State FY 2002		US FY 2002 (Based on States Reporting)		State Penetration Rate (Served/State Pop) x1000	US Penetration Rate (Based on states reporting)	
	¶	%	¶	%			
<u>Age</u>							
0 to 3	231	1%	29,828	1%	8.6	2.5	(47 states)
4 to 12	4,130	20%	574,207	13%	54.7	19.2	(48 states)
13 to 17	3,722	18%	497,538	12%	82.4	30.6	(48 states)
18 to 20	1,046	5%	167,285	4%	37.4	16.6	(49 states)
21 to 64	9,866	48%	2,473,615	58%	27.7	18.4	(49 states)
65 to 74	656	3%	118,141	3%	16.1	7.7	(50 states)
75 and over	709	3%	90,709	2%	19.3	6.6	(50 states)
Unknown Age	51	0%	106,140	2%			(25 states)
TOTAL	20,411	100%	4,264,612	100%	33.5	17.9	(51 states)
<u>Gender</u>							
Female	10,537	52%	2,146,503	50.3%	33.9	17.9	(50 states)
Male	9,864	48%	2,015,213	47.3%	33.1	17.4	(50 states)
Unknown	10	0%	102,896	2.4%			(30 states)
TOTAL	20,411	100%	4,264,612	100%	33.5	17.9	(51 states)
<u>Race/Ethnicity</u>							
American Indian/Alaskan Native	110	1%	48,150	1%	45.5	21.6	(47 states)
Asian	66	0%	54,688	1%	12.7	7.0	(48 states)
Black/African American	192	1%	874,513	21%	62.7	29.5	(47 states)
Native Hawaiian/Pacific Islander	-	-	10,402	0.2%	0.0	54.5	(22 states)
White	17,944	88%	2,704,401	63%	30.5	15.9	(50 states)
Hispanic	-	-	295,694	7%	0.0	16.1	(22 states)
Multi-Racial	-	-	29,178	1%	0.0	8.7	(20 states)
Other Race	2,100	10%	238,948	6%			(48 states)
Race Unknown	(1)	0%	8,638	0%			(5 states)
TOTAL	20,411	100%	4,264,612	100%	33.5	18.4	(51 states)
<u>Hispanic Origin</u>							
Hispanic or Latino	208	1%	154,792	8%	37.8	6.4	(30 states)
Not Hispanic or Latino	17,240	84%	1,679,155	83%	28.6	13.8	(31 states)
Hispanic Status Unknown	2,966	15%	181,706	9%			(20 states)
TOTAL	20,411	100%	2,015,653	100%			(30 states)



OUTCOMES DOMAIN: TABLE 2.

CONSUMER SURVEY RESULTS

FY 2002

State Identifier:	Children		Adults	
Indicators		US Average		US Average
1. Percent Reporting Positively About Access.	85%	79%	87%	82%
2. Percent Reporting Positively About Quality and Appropriateness for Adults / Reporting Positively about Satisfaction for Children.	87%	79%	88%	81%
3. Percent Reporting Positively About Outcomes.	67%	63%	74%	70%
4. Percent of Family Members Reporting on Participation In treatment Planning.	-	77%		
5. Percent of Family Members Reporting High Cultural Sensitivity of Staff. (Optional)	-	79%		
6. Percent of Adults Reporting on Participation In Treatment Planning. (Optional)			-	74%
7. Percent of Adults Positively about General Satisfaction with Services. (Optional)			90%	83%
			State	US
1. Was the Official 28 Item MHSIP Adult Outpatient Consumer Survey Used?			No	Yes = 18
1.c. Did you use any translations of the MHSIP into another language?			Spanish	15
6. How many responses are the results based on?			11,491	2,443
7. How Many consumers were sampled?			13058	176,671
2. Populations covered in survey?			Sample of MH Consumers	Sample = 31
				All Consumers = 12

Year 2 Accomplishments: Developmental Tables

- 5 Workgroups helped develop definitions and guidance for state Reporting:
 - Living Situation – Nancy Callahan
 - Evidence-Based Practices – Vijay Ganju
 - Outcomes – Steve Davis
 - Psychiatric Hospital Readmissions – Ted Lutterman
 - Unmet Need/Untreated Prevalence – Ted Lutterman

Year 2 Accomplishments:

Developmental Tables

- Table 15: Living Situation: What was the most recent recorded living situation for all consumers served by the SMHA System?
 - Private Residence
 - Foster Home
 - Residential Care
 - Children's RTC
 - Crisis Residence
 - Institutional Setting
 - Jail/Correctional Facility
 - Homeless Shelter
 - Other
 - Not Available

Year 2 Accomplishments: Developmental Tables

Tables 16, 17, and 18: Evidence-Based Practices

- Adults with SMI
 - Supported Housing
 - Supported Employment
 - Assertive Community Treatment
 - Family PsychoEducation
 - Integrated Treatment for Co-occurring Disorders
 - Illness Management/Recovery Skills
 - Use of New Generation “Atypical” Antipsychotic Meds

Children with SED

Therapeutic Foster Care

Information on how states measure fidelity to EBP
Models

Year 2 Accomplishments:

Developmental Tables

● Table 19: Outcomes From Care: The workgroup developed a set of 4 Tables for State Reporting. Focus on:

- 1 Adult Criminal Justice Involvement
- 2 Juvenile Justice Involvement
- 3 School Participation
- 4 School Performance

For Each Table, states are asked for information about how they compiled the information. Focus on 3 major approaches

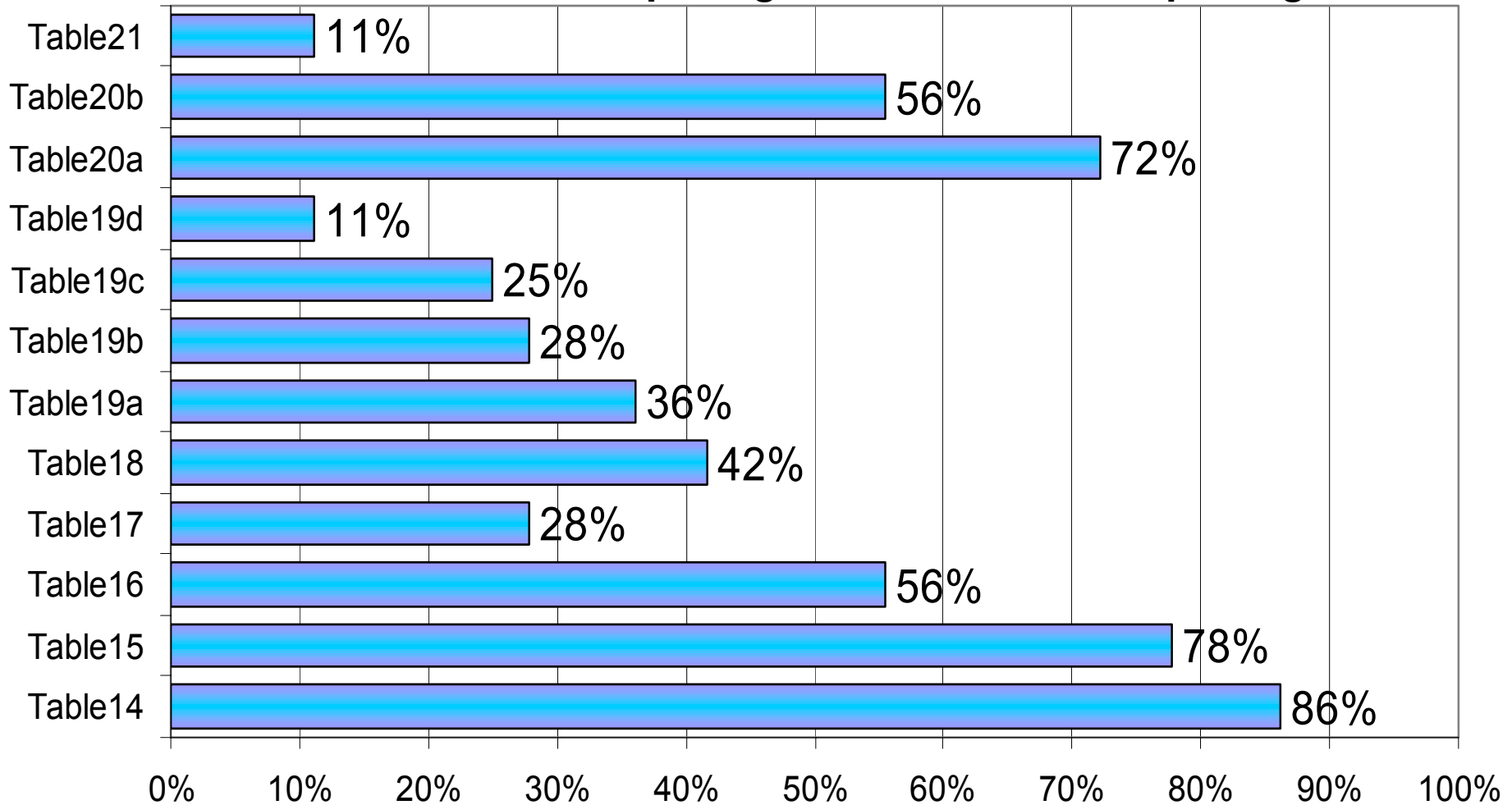
- 1 Linked or integrated data
- 2 Clinical Records
- 3 Consumer Survey/Self-Report

Year 2 Accomplishments: Developmental Tables

- Table 20-21: Readmission to State and Other Public Psychiatric Hospitals within 30 and 180 Days of Discharge
 - Table 20a: Non-Forensic Patients in State Psychiatric Hospitals
 - Table 20b: Forensic Patients in State Psychiatric Hospitals
 - Table 21: Readmissions to any Psychiatric Inpatient Program that is part of the State's public mental health system

2003 URS Reporting of Developmental Tables

Percent of States Completing Table: 36 States Reporting



Year 3 URS/DIG Activities

Finalize URS Tables

- Fine Tuning of Basic Tables
- Assess Reporting Experiences of states on Developmental Tables to Revise and Finalize.
- Focus on Unresolved Issues
 - Untreated Prevalence (unmet need) Table 13
 - Outcomes (Tables 19a-d)
 - Co-Occurring Mental Health & Substance Abuse

Technical Assistance To States

- Individual State TA – Apply to SDICC/NRI
- Workshops
 - Using URS Data for Planning
 - ??

Year 3 URS/DIG Activities

Output Reports

- Finalize Year 1 Reports for Posting On SAMHSA Website

- Develop Year 2 Reports using 2 Years of Data
 - Tables showing Changes Over Time

- Custom Query System to allow States and CMHS to access URS data will be developed and piloted on the SDICC Website

For Additional Information

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Uniform Reporting System: Year One Results

- 47 States and 4 Territories Submitted Year 1 data as part of their 2002 Mental Health Block Grant Reports
- Electronic Submission to the CMHS via the State Data Infrastructure Coordinating Center (SDICC) at the NRI or via Paper submission to CMHS
- States worked with CMHS and the SDICC to edit and clean data
- SDICC prepared State Level reports reviewed by states

Number of Persons Served by SMHAs: 2002 URS

- 4,264,627 Consumers Were Served by the States (47 States and 4 Territories Reporting)
 - From Table 2: Persons Served by Age, Race, and Gender
- 17.9 Consumers received mental health services per every 1000 persons in the U.S. Population:
 - Range from 35.7 to 5.9 per 1000 population

Number of Persons Served:

2002 URS

- 29 States were able to report all Unduplicated Client Data
- 15 States reported data Duplicated between state psychiatric hospitals and community mental health providers
- 10 States reported data Duplicated between community mental health providers
- 5 States reported data duplicated between Children/Adolescents and Adults
 - Mostly an issue of adolescents “aging” out of the child system into the adult system.
- 14 States are working on Unduplication of Data as part of their DIG

Persons Served by SMHAs: 2002

- 17 States reported everyone they serve meets the Federal Definitions of SMI
- 26 States reported they serve expanded populations that are broader than the Federal definition
 - On average 60% of the consumers served in these states meet the Federal Definition of Adults with Serious Mental Illness

Number of Persons Served by SMHAs: 2002

- Age of Consumers Served:
 - 26% were under Age 18
 - 62% were age 18 to 64
 - 5% were Aged 65 and Older
 - 7% had “unknown” age

- Gender
 - 50.3% were Female
 - 47.3% were Male (2.4% Gender not collected)

Number of Persons Served by SMHAs: 2002

● Race:

- 63% Were White
- 21% were Black/African American
- 1% each were Asian, American Indian or Alaskan Native, Multi-racial.
- Native Hawaiian/Pacific Islander was 0.2%
- 6% were "Other" Race

● Hispanic Origin: (31 states reporting)

- 8% Were of Hispanic or Latino Origin
- 9% Hispanic Status Unknown

Persons Served in Community MH Settings who were Homeless: 2002

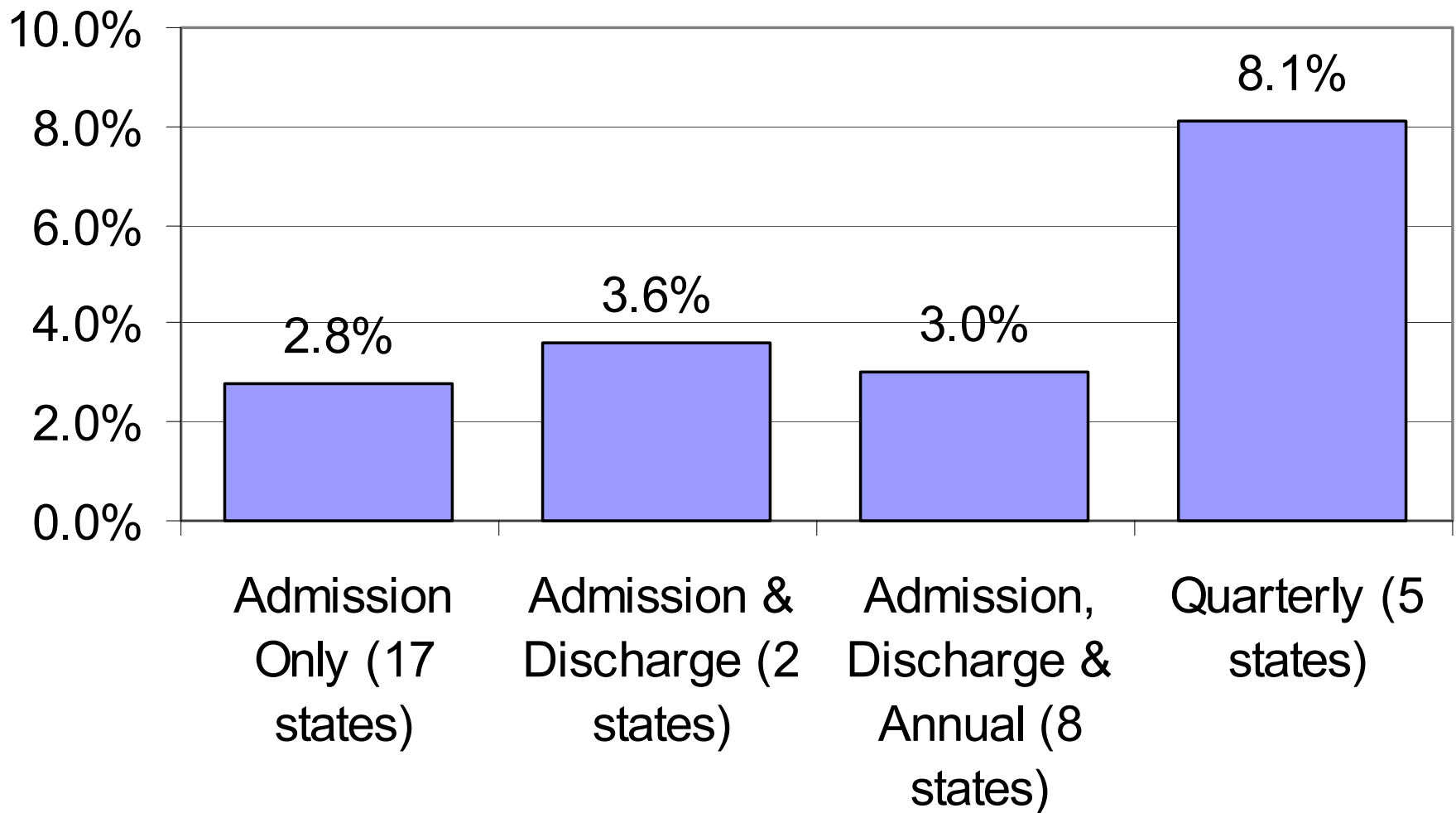
- 40 States were able to report on 75,584 persons served who were homeless
- 2.9% of all persons served were homeless during the year
- States currently count homeless differently and at different points in time:

Persons Served in Community MH Settings who were Homeless: 2002

- States currently count homeless differently and at different points in time:
 - 26 States at Admission
 - 8 States: at Discharge
 - 7 States: Update Annually
 - 6 States: Update Quarterly
 - 1 State: Update Monthly

- Most States Collect Homeless Status within a few weeks of Assessment, a few collect Homeless Status at any point during the year

Percent of Mental Health Consumers who were Homeless: by When Homeless Status Is Collected: 2002 URS Data



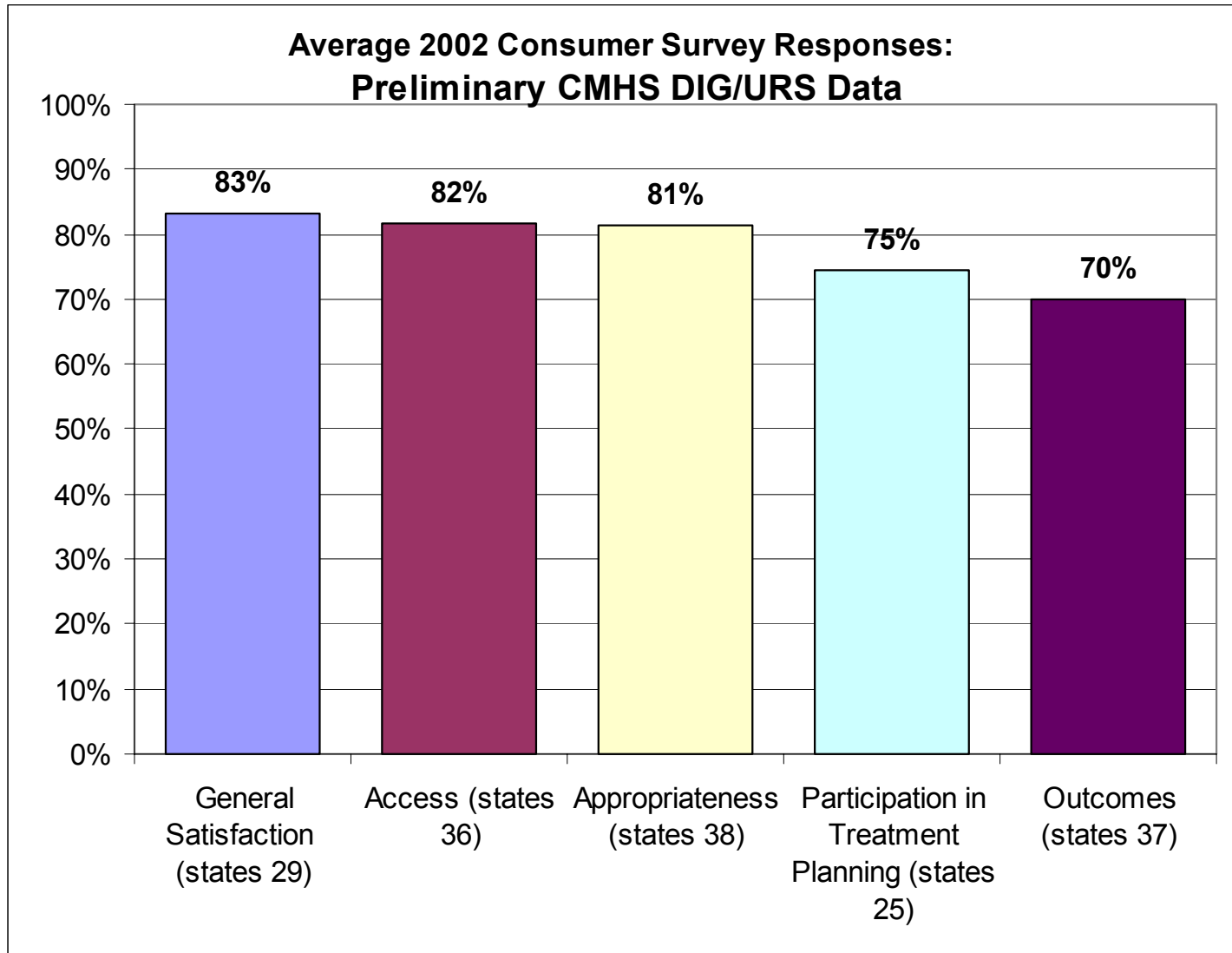
Adult Consumer Perception Of Access, Appropriateness/Quality And Outcomes: 2002

- These indicators were operationalized using the domains of the MHSIP consumer survey for adults

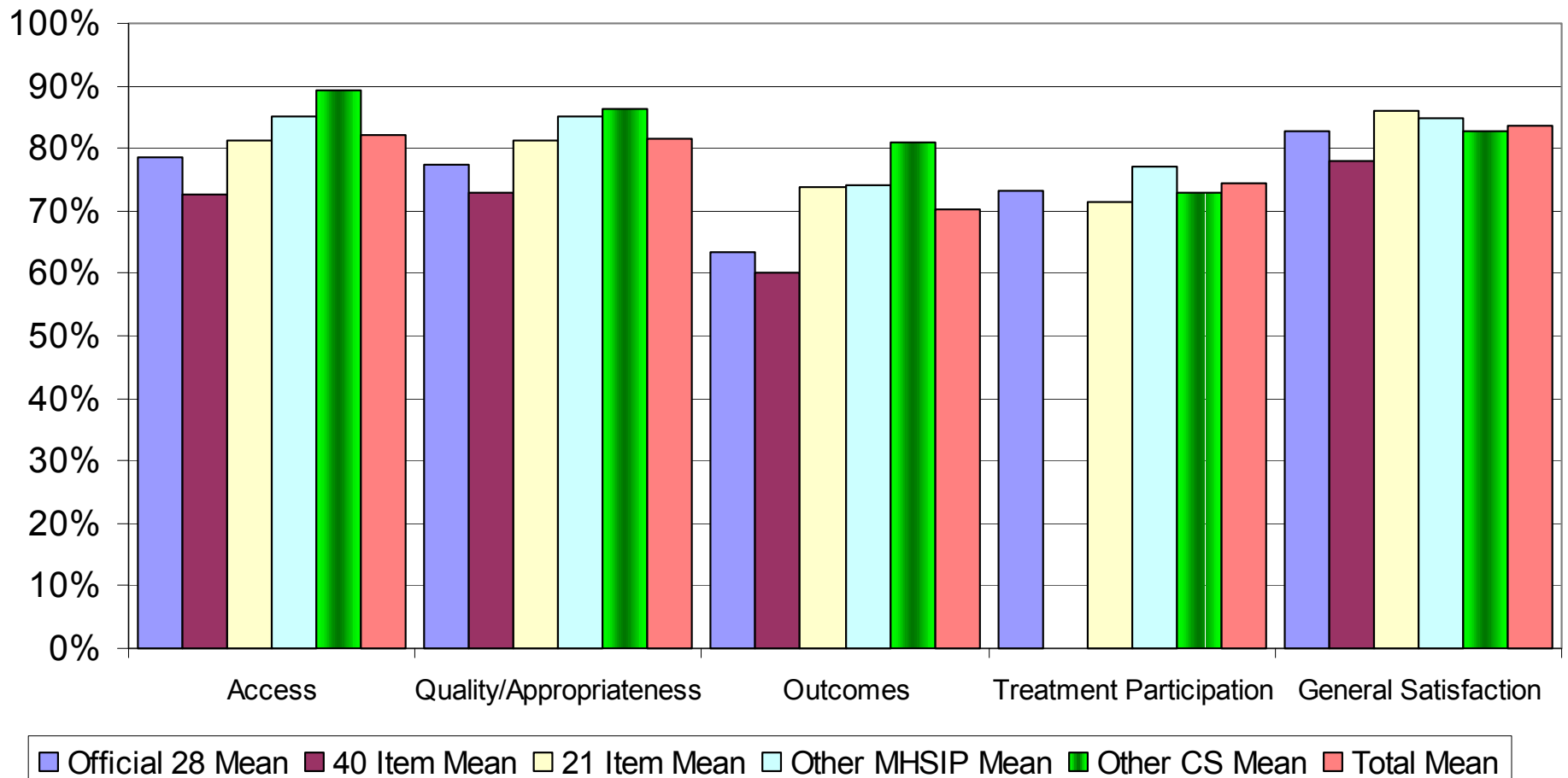
Results:

- 36 states reported on this indicator
- Reported on 96,139 survey responses
- Almost all states reported using the MHSIP Consumer Survey

Consumer Perception of Care: Adults:

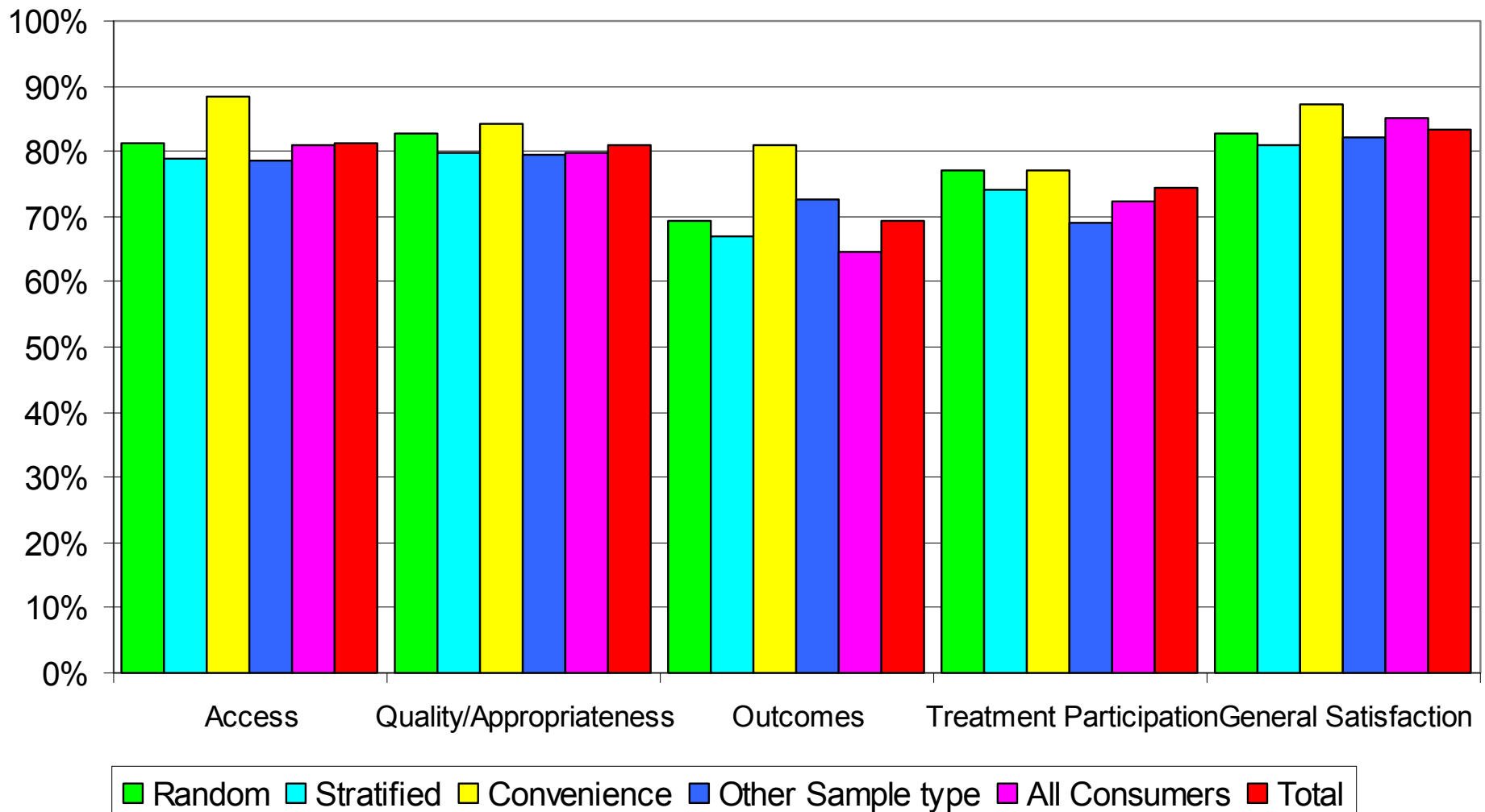


2002 MHSIP Consumer Survey Results: By Type of Survey



2002 MHSIP Consumer Survey

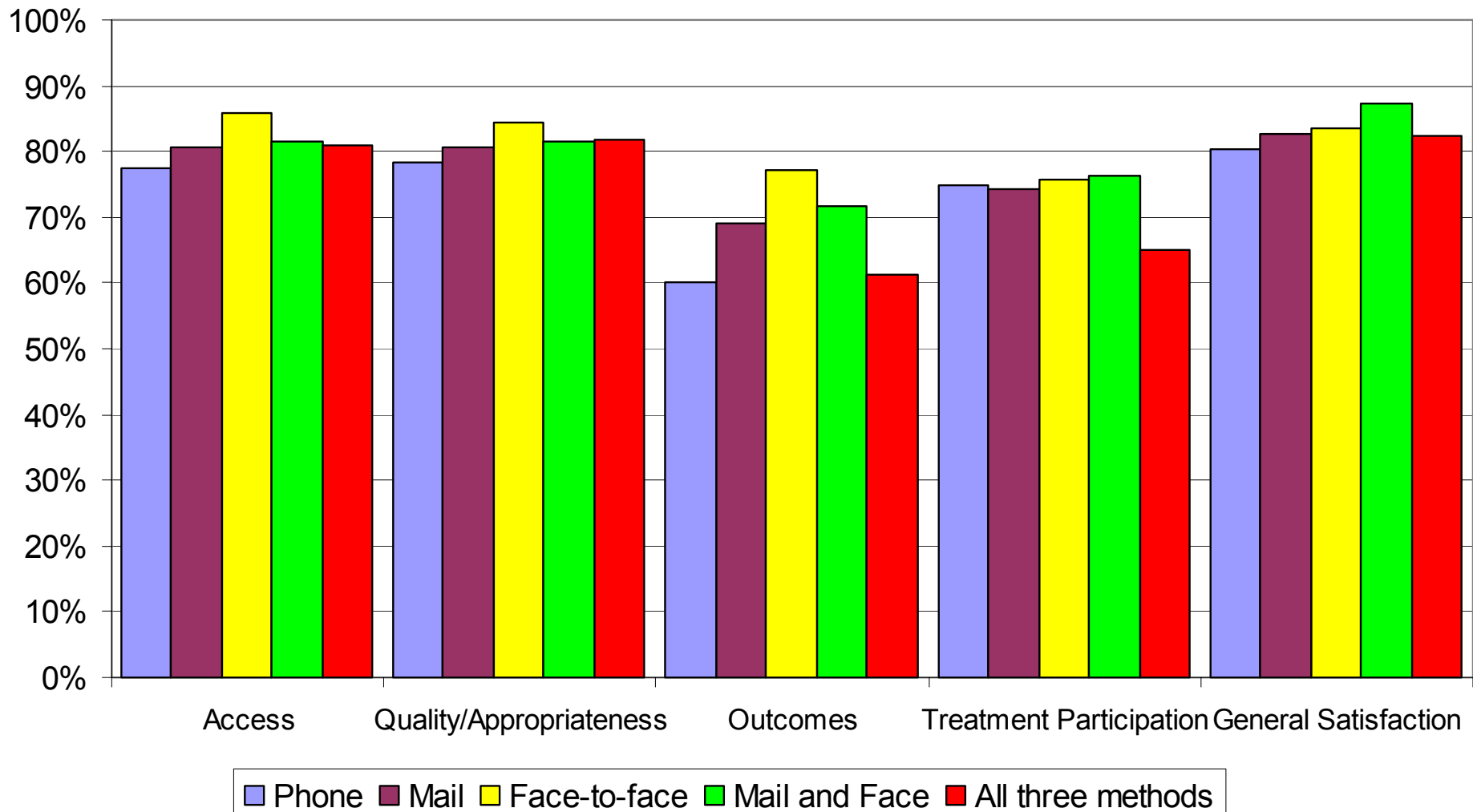
Results: By Type of Sample Method



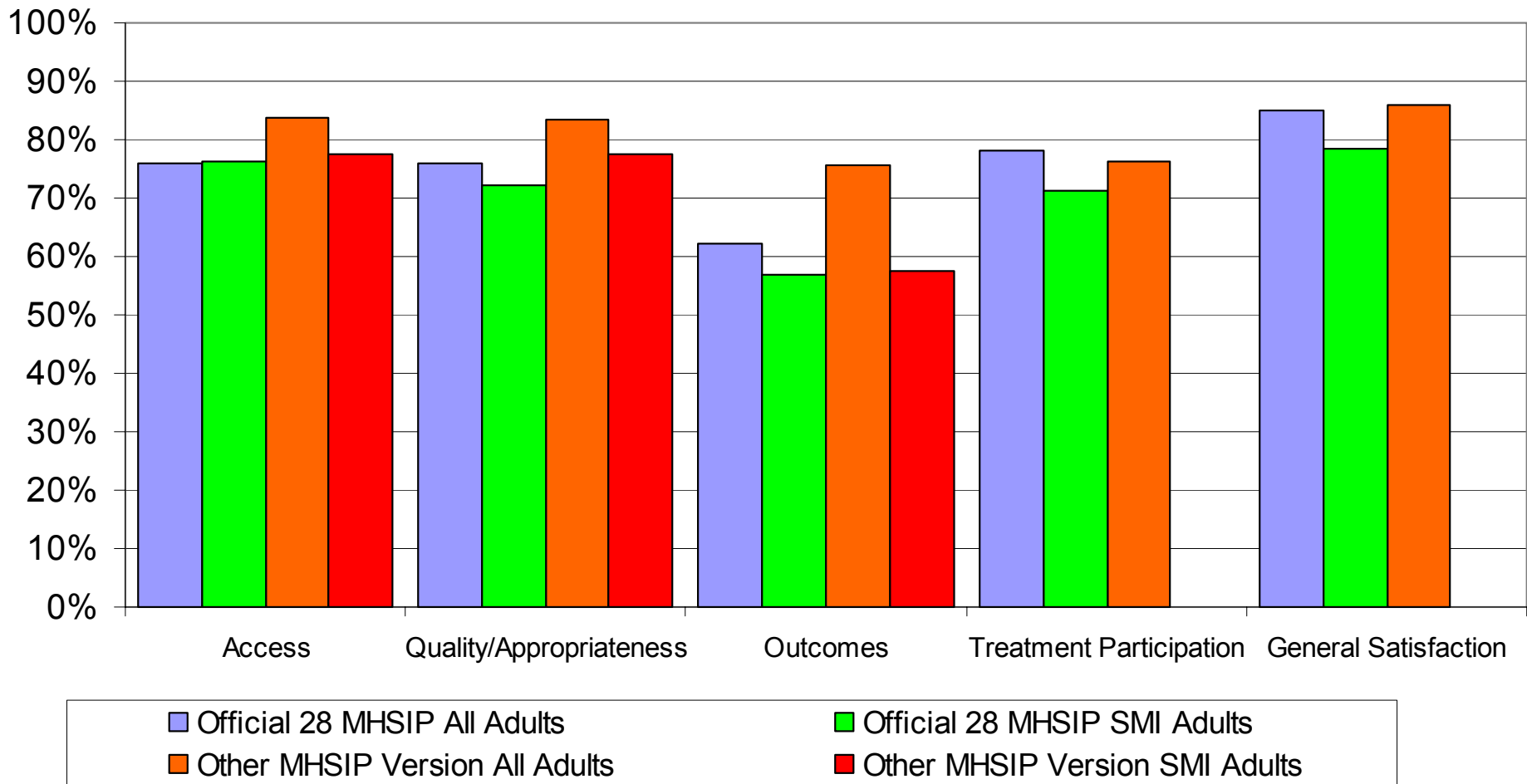
2002 MHSIP Consumer Survey

Results: By Type of Survey

Collection Method



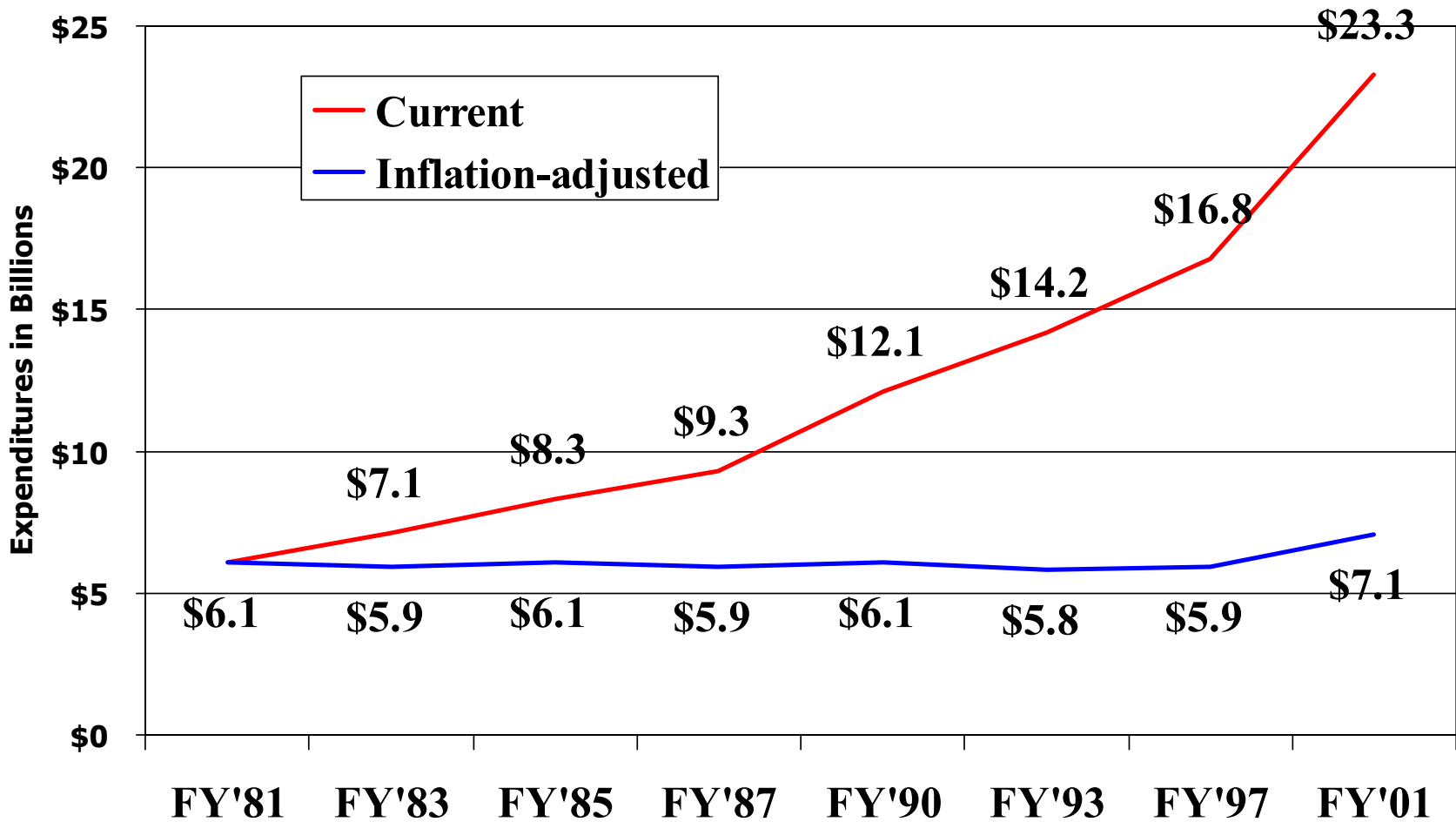
2002 MHSIP Consumer Survey Results: By Population Surveyed



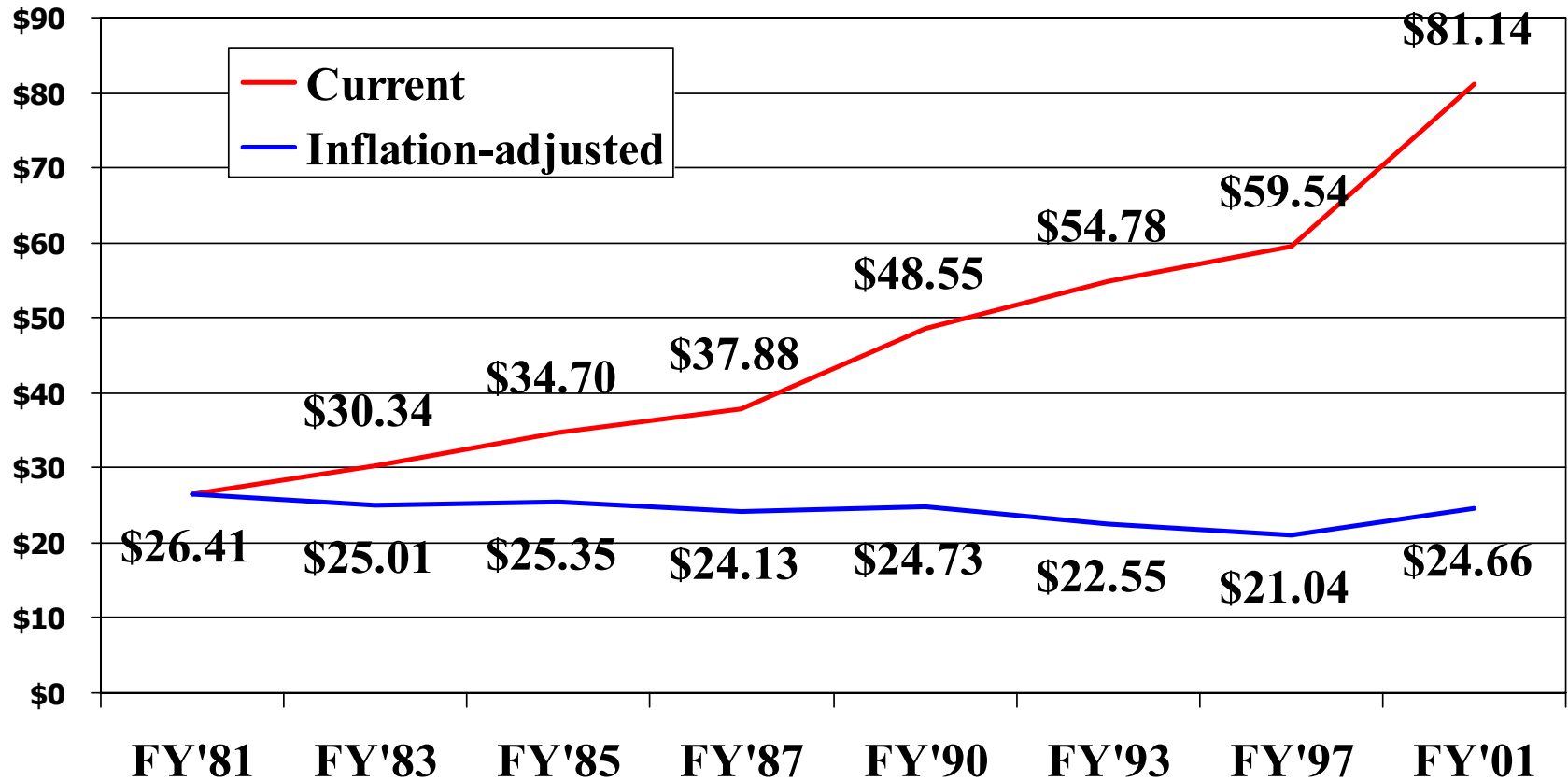
FY'2001 State Mental Health Agency Revenues/Expenditures Data

- FY'2001 Data was used in URS Table 7 for URS Year 1
 - Focus on "actual" expenditures controlled by SMHAs. Data Series dates back to FY'1981
- FY'2002 R/E data are currently being compiled for use with 2003 URS data
- FY'2001 reports are available on-line at www.nri-inc.org and from CMHS.

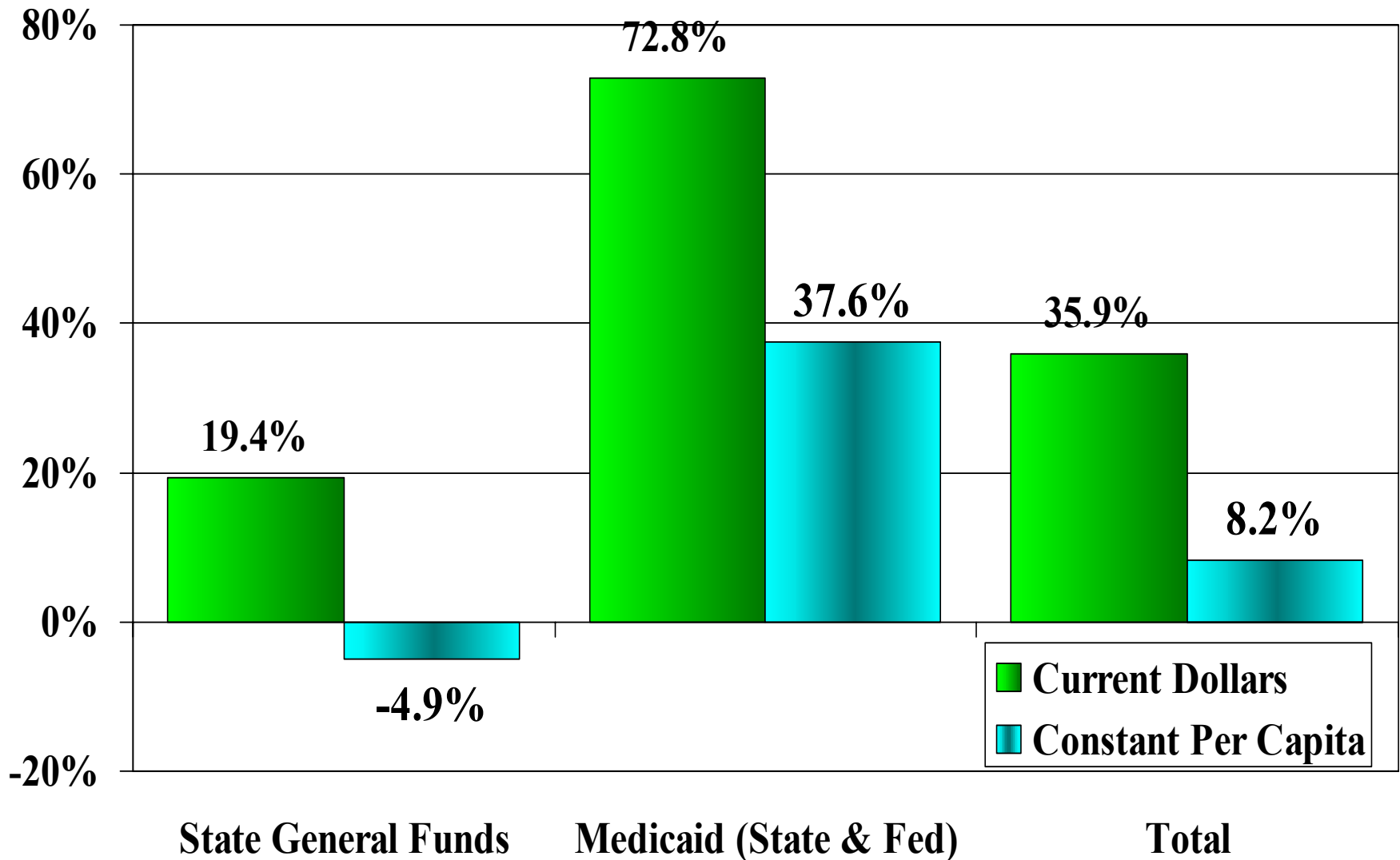
Trend in State Mental Health Agency Controlled Mental Health Spending, FY'81 to FY'01



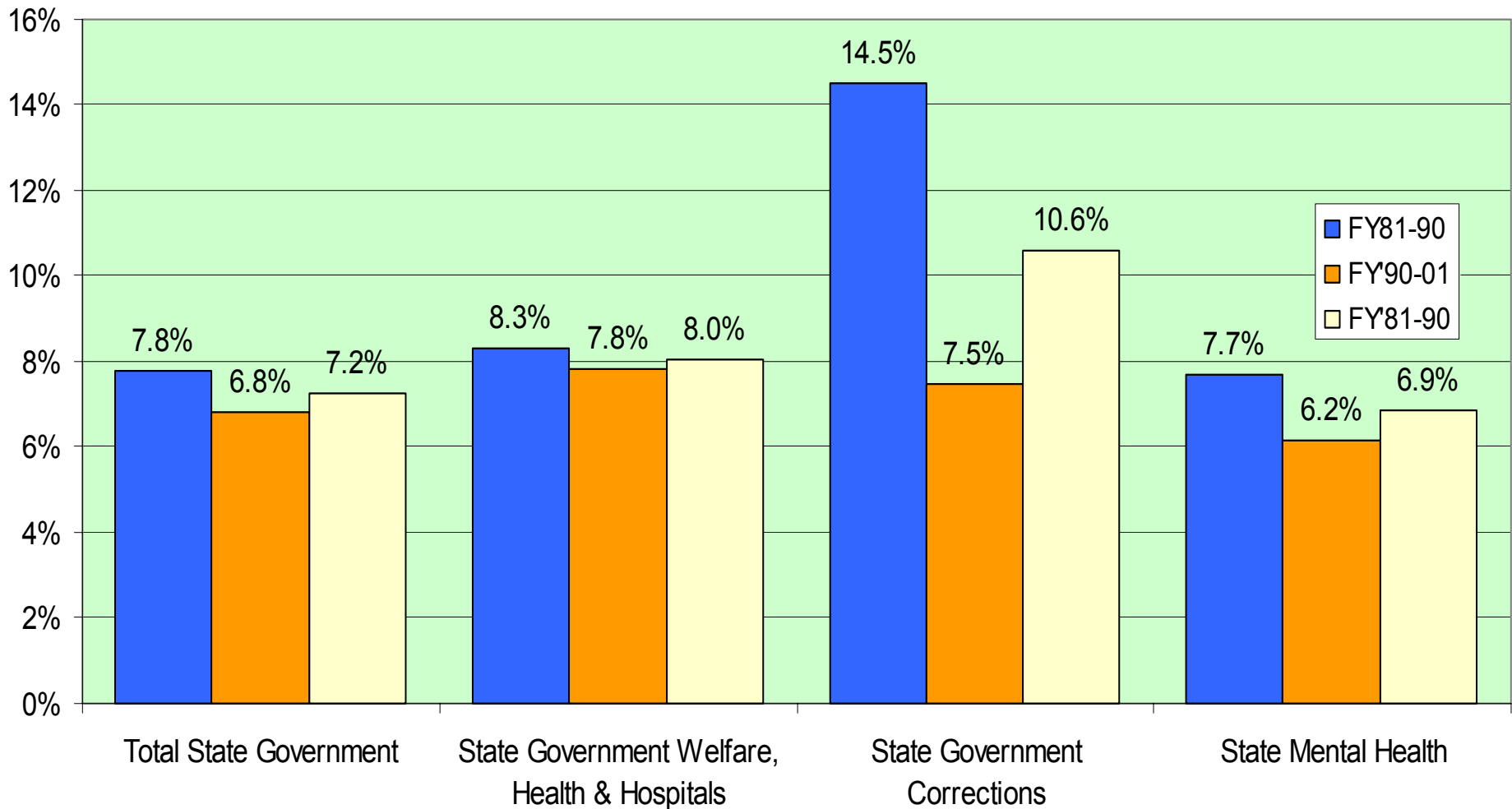
State Mental Health Agency Controlled Per Capita Mental Health Spending, FY'81 to FY'01



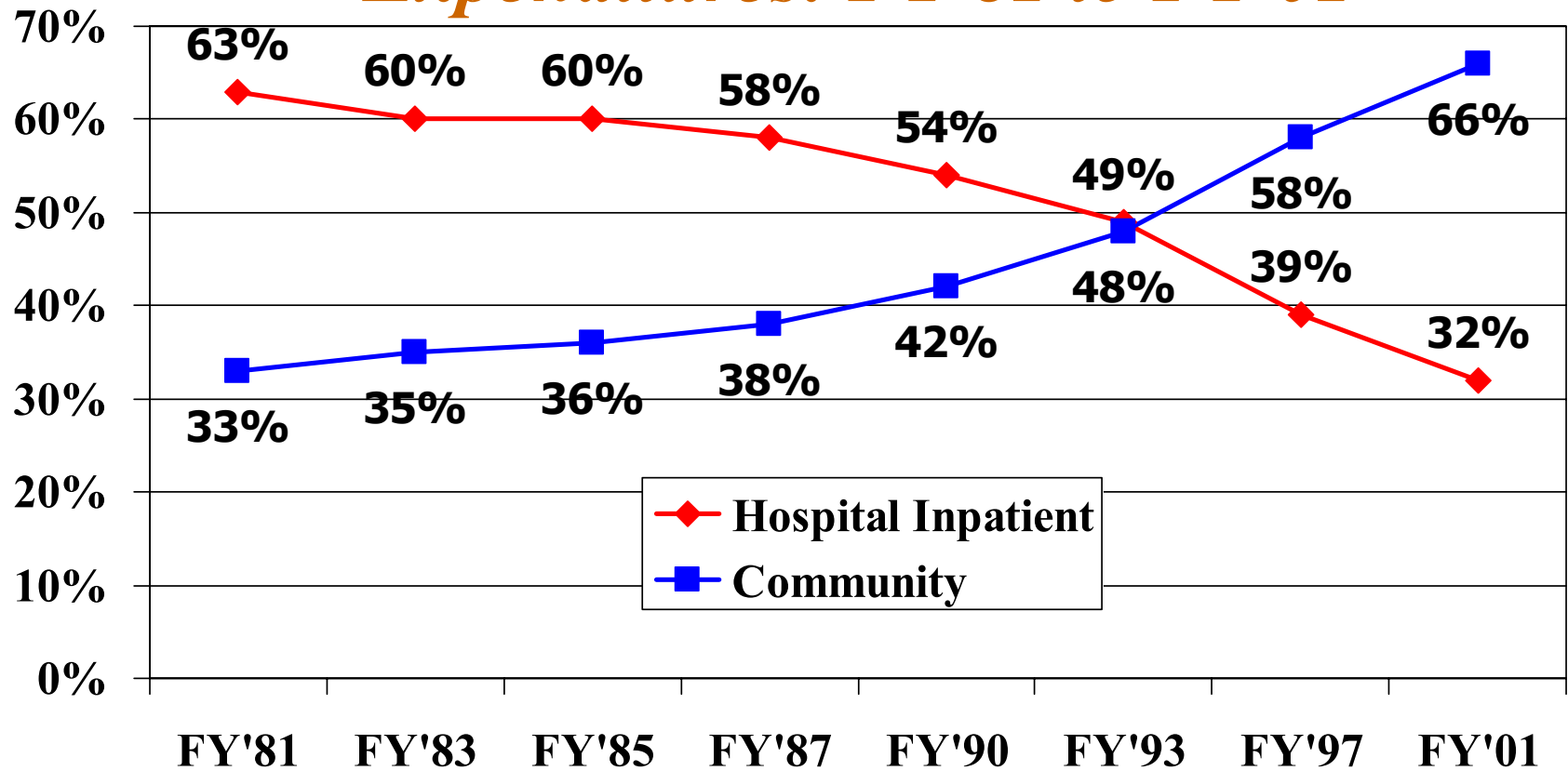
FY'97 to FY'01 Change in SMHA-Controlled Revenues from Medicaid and State General Funds



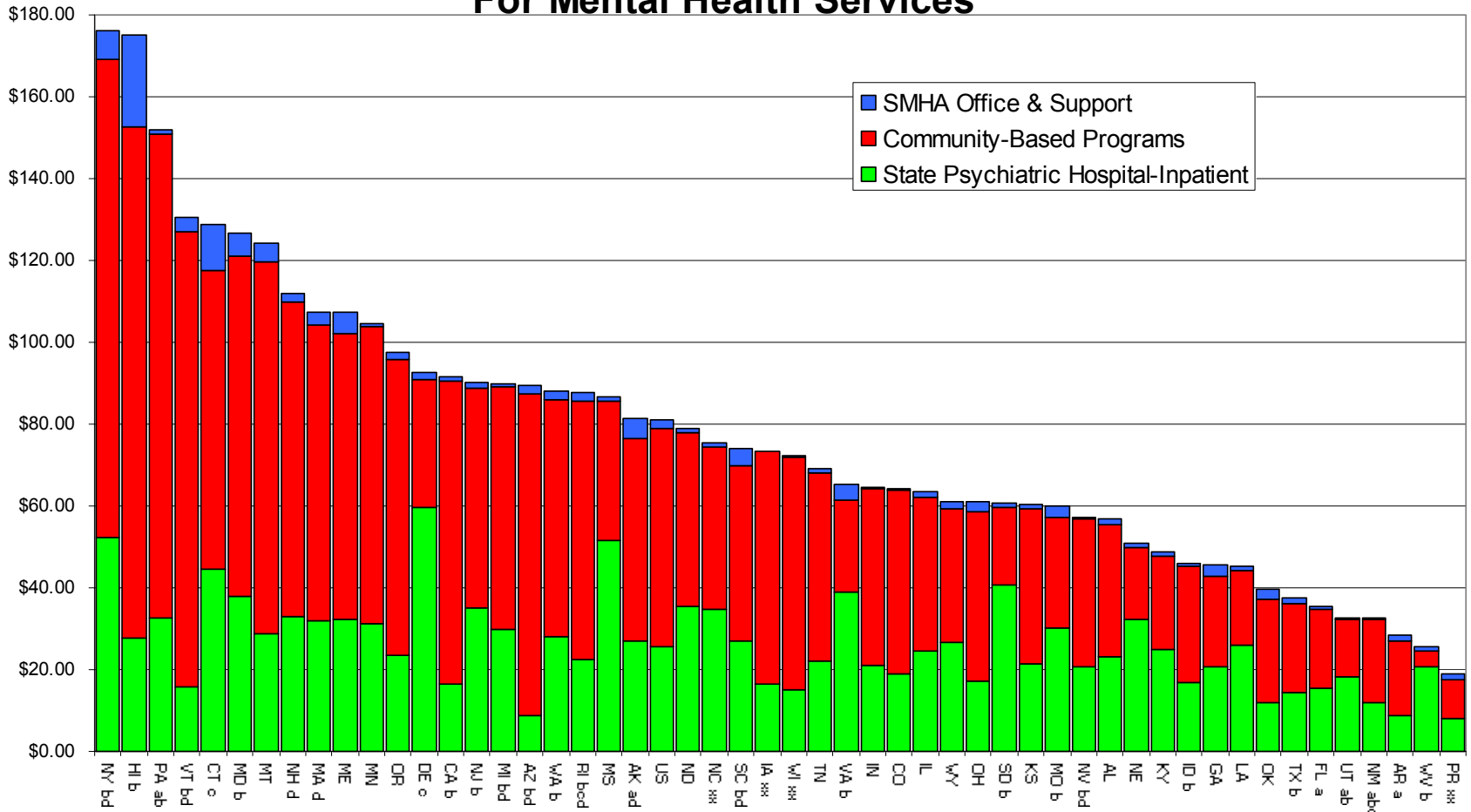
Average Annual Growth in State Government Expenditures, FY'81 to FY'01



SMHA-Controlled Expenditures for State Psychiatric Hospital Inpatient and Community-Based Services as a Percent of Total Expenditures: FY'81 to FY'01



Fiscal Year 2001 SMHA-Controlled Per Capita Expenditures For Mental Health Services



a = Medicaid Revenues for Community Programs are not included in SMHA-Controlled Expenditures

b = SMHA-Controlled Expenditures include funds for mental health services in jails or prisons.

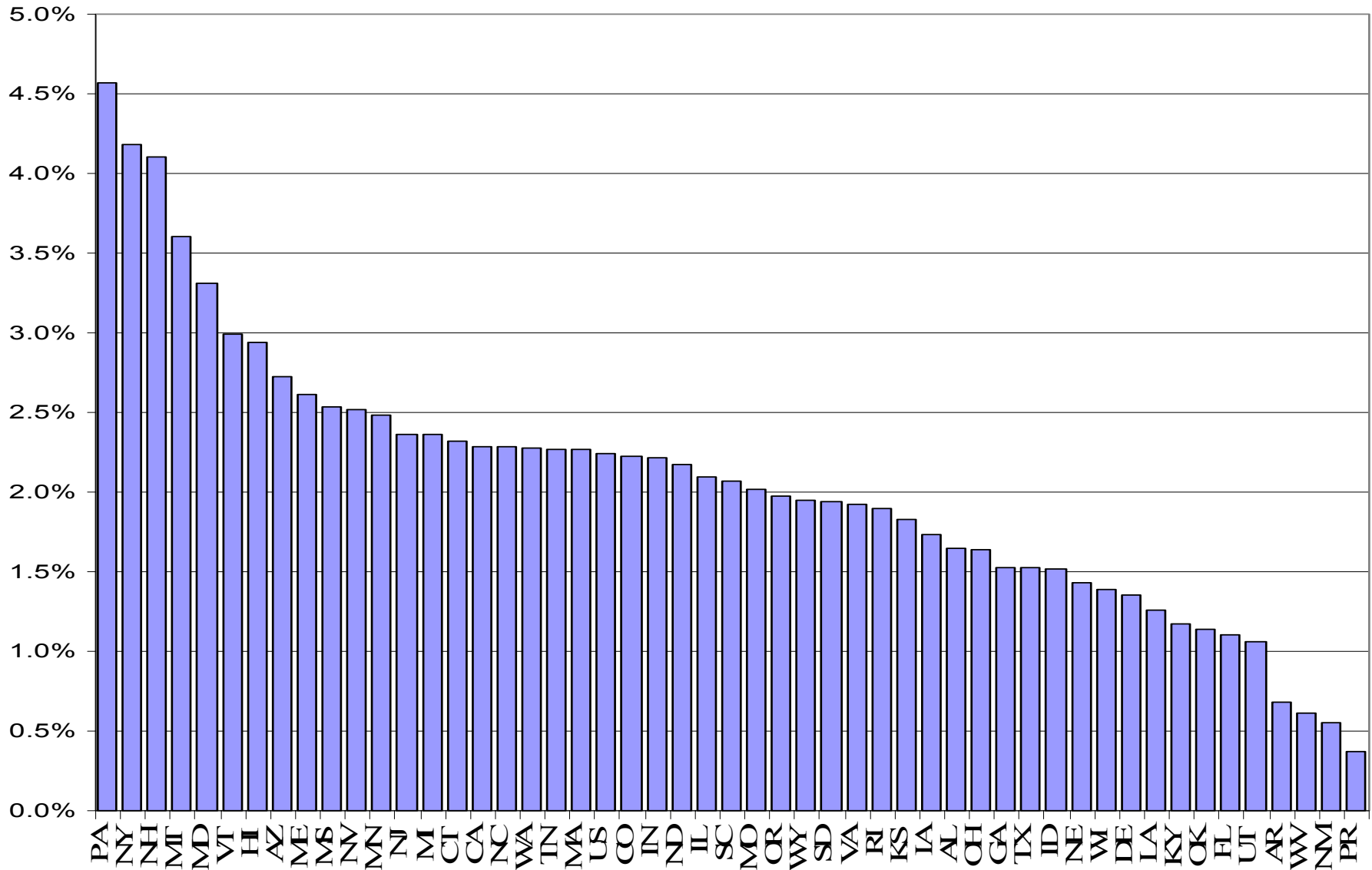
c = Children's Mental Health Expenditures are not included in SMHA-Controlled Expenditures

d = SMHA-Controlled Expenditures includes the "majority" of publicly supported housing provided to Adults with SMI and/or Children with SED

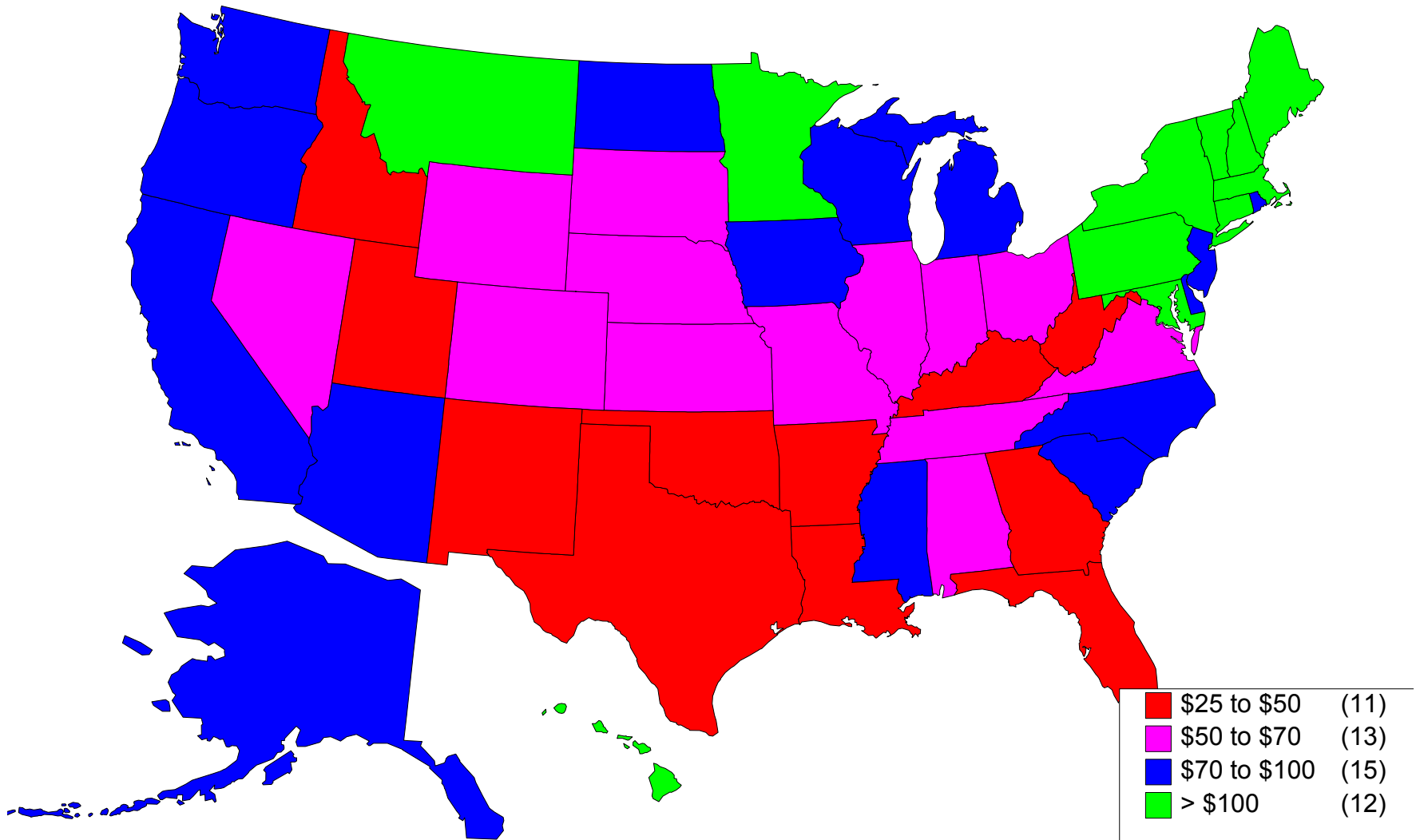
xx = SMHA did not return data checklist survey

SMHA Mental Health Expenditures as a Percent of Total State Government Expenditures: FY2001

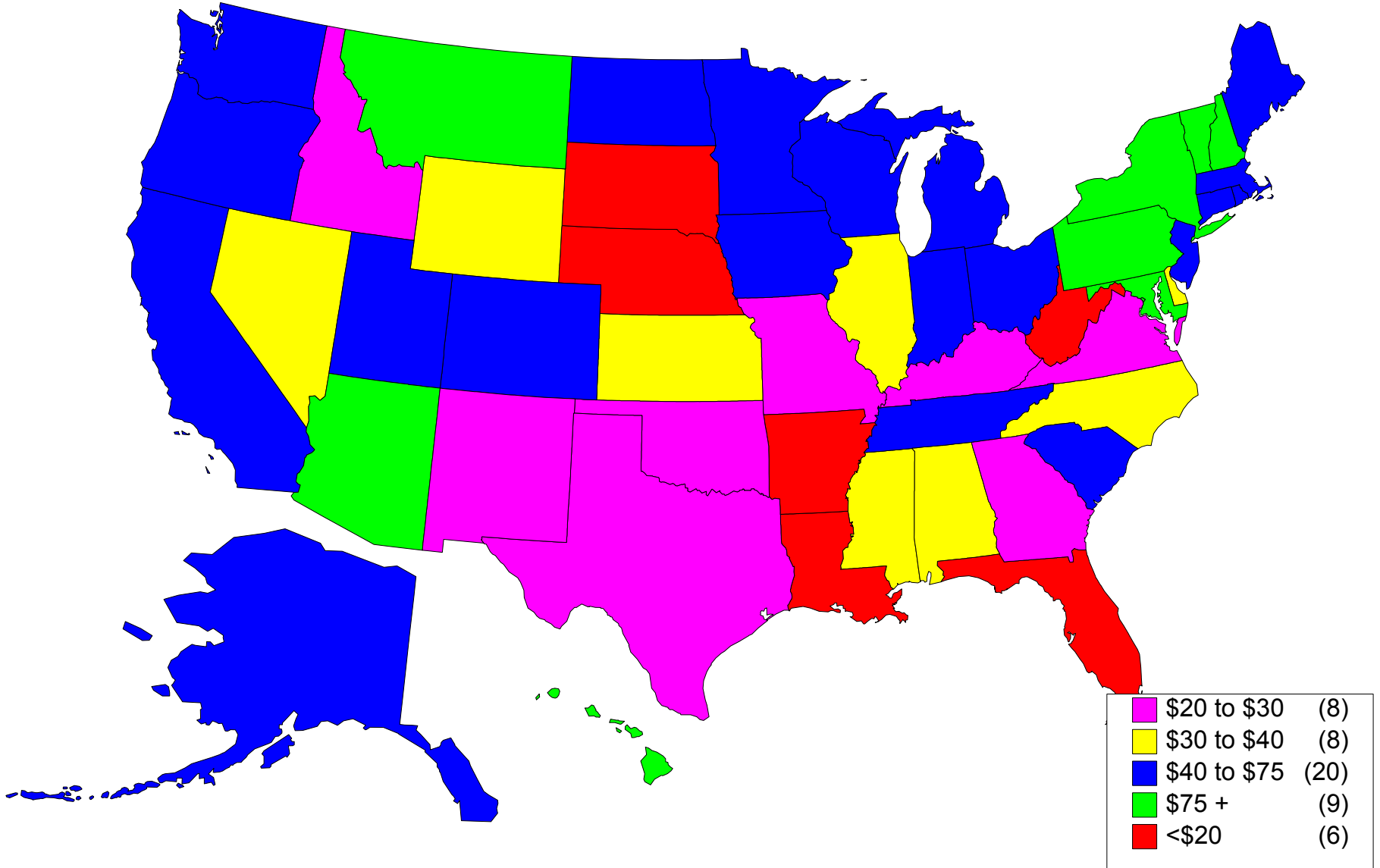
SMHA Mental Health Expenditures as a Percent of Total State Government Expenditures: FY2001



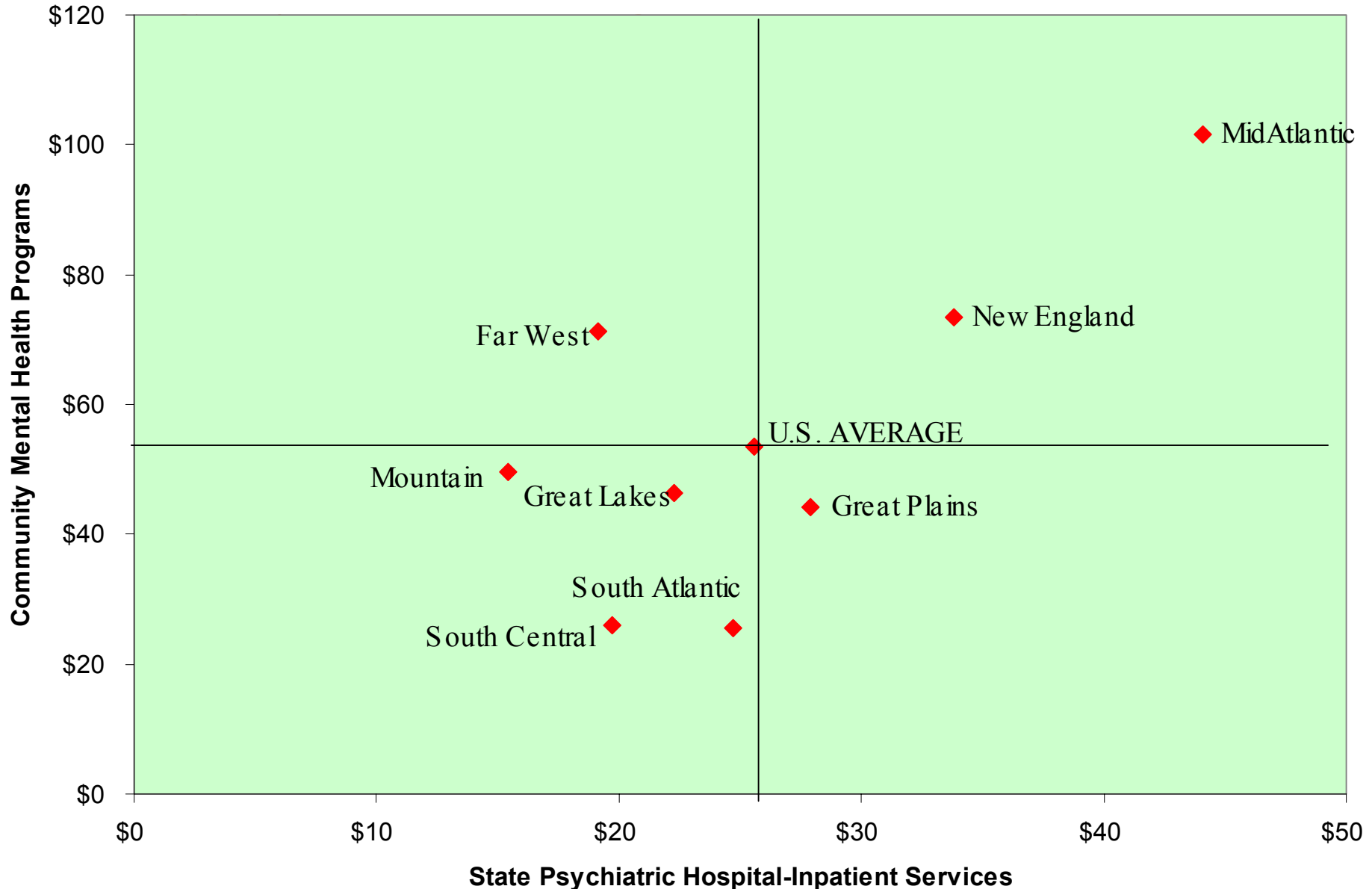
Total FY2001 SMHA-Controlled Per Capita Mental Health Expenditures



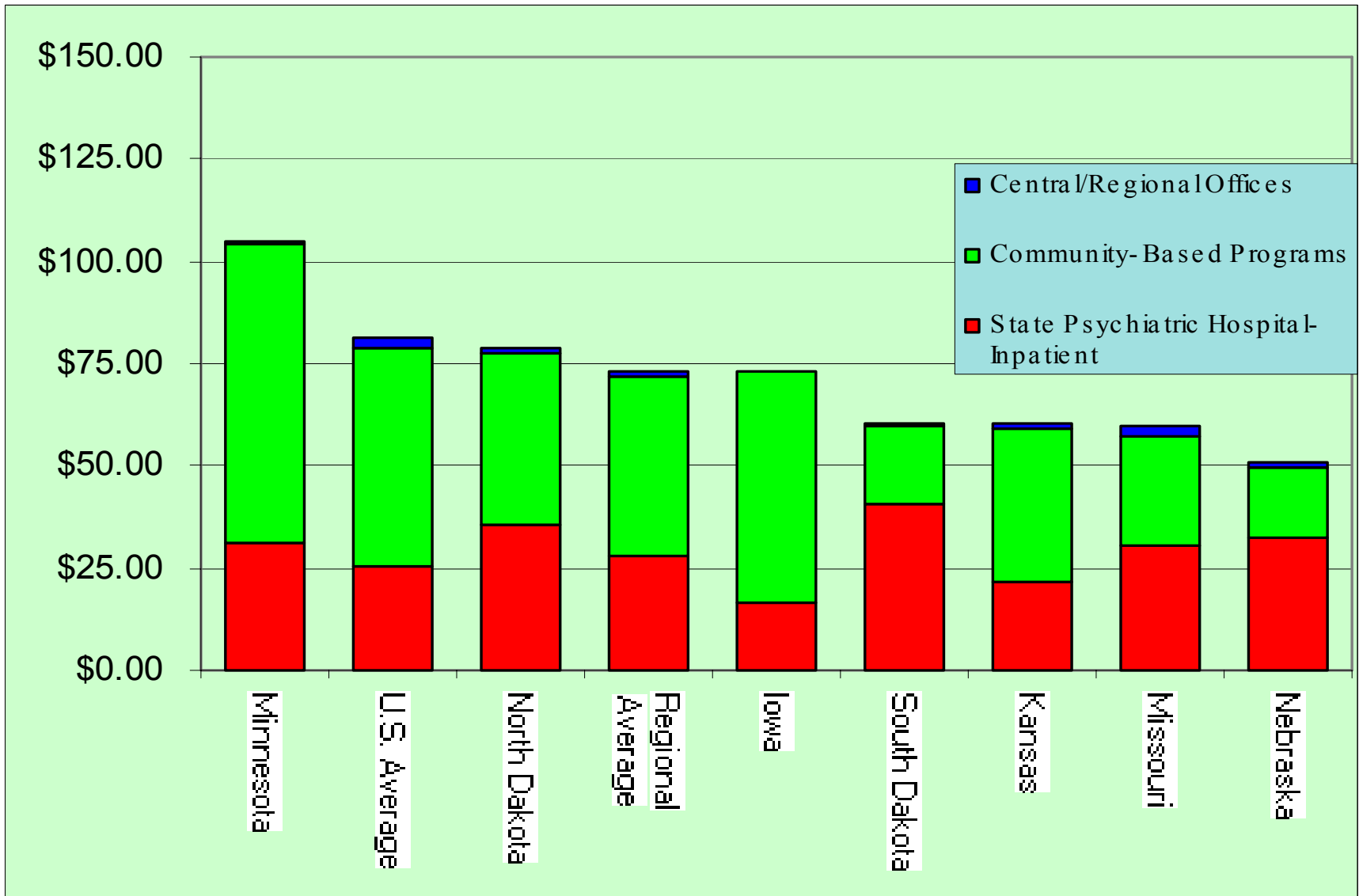
FY'2001 Per Capita SMHA-Controlled Mental Health Expenditures Devoted to Community Programs



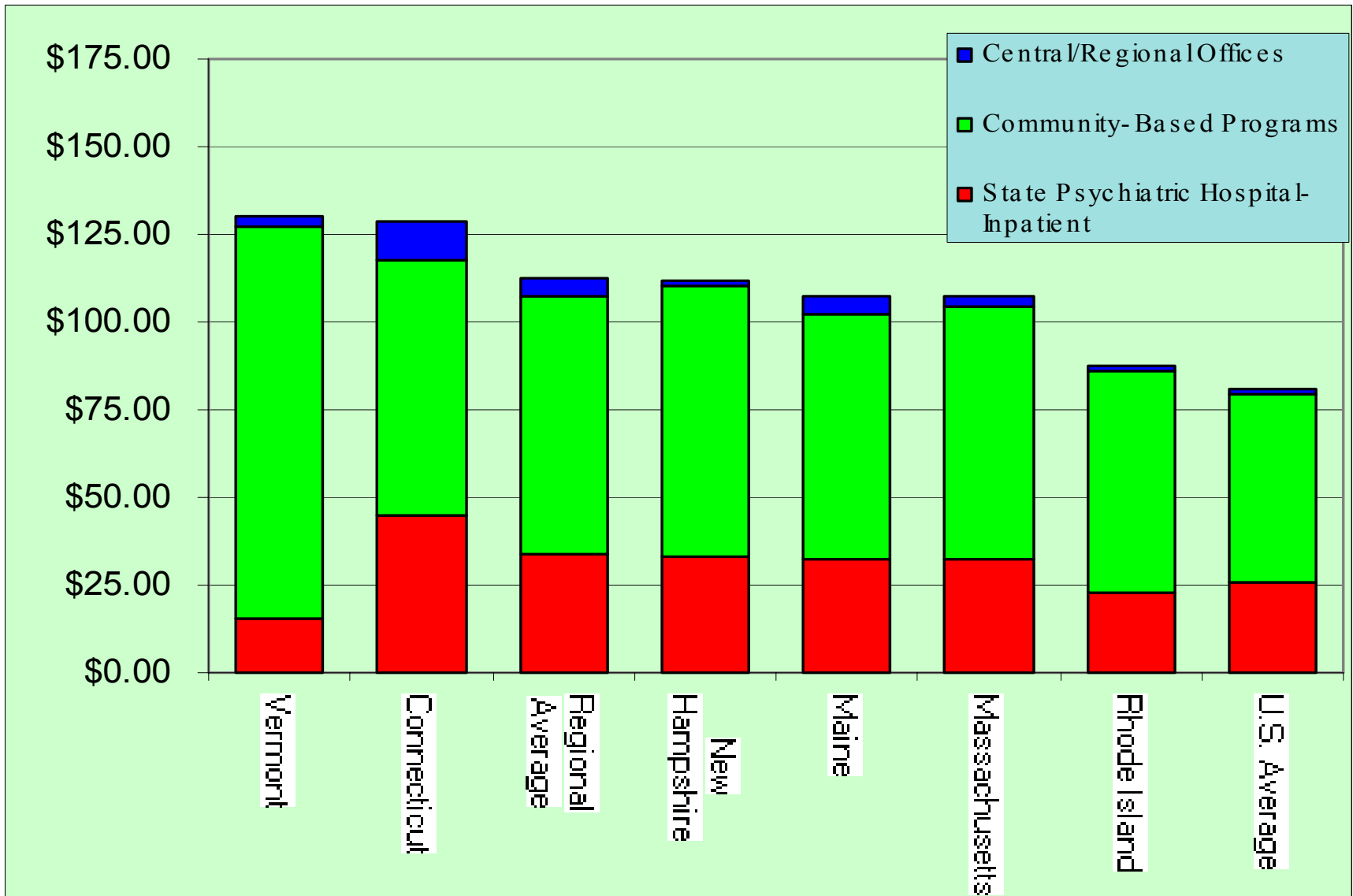
FY'01 Per Capita State Mental Health Agency Controlled Expenditures for Mental Health



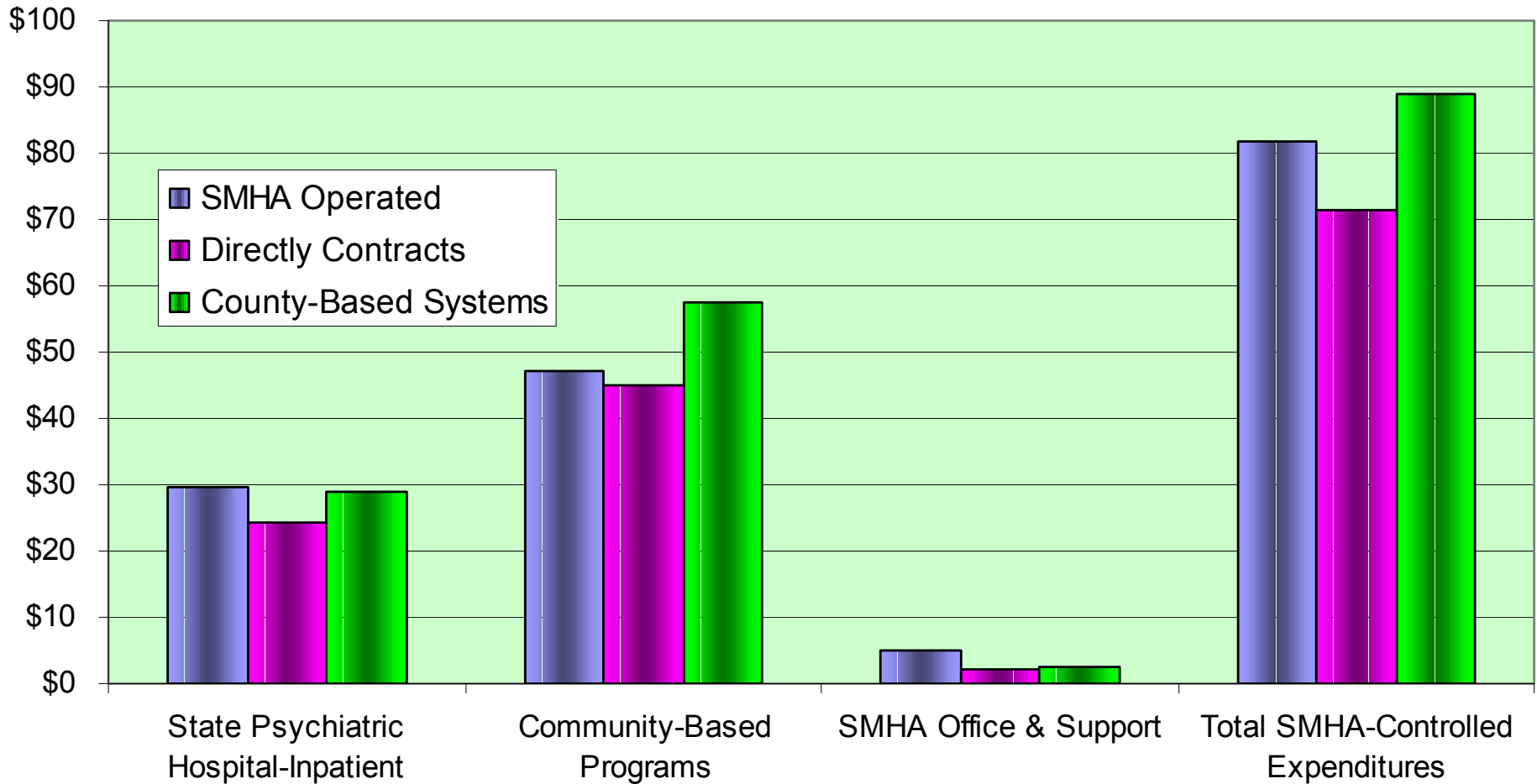
FY'01 SMHA-Controlled Per Capita Expenditures, Great Plains States



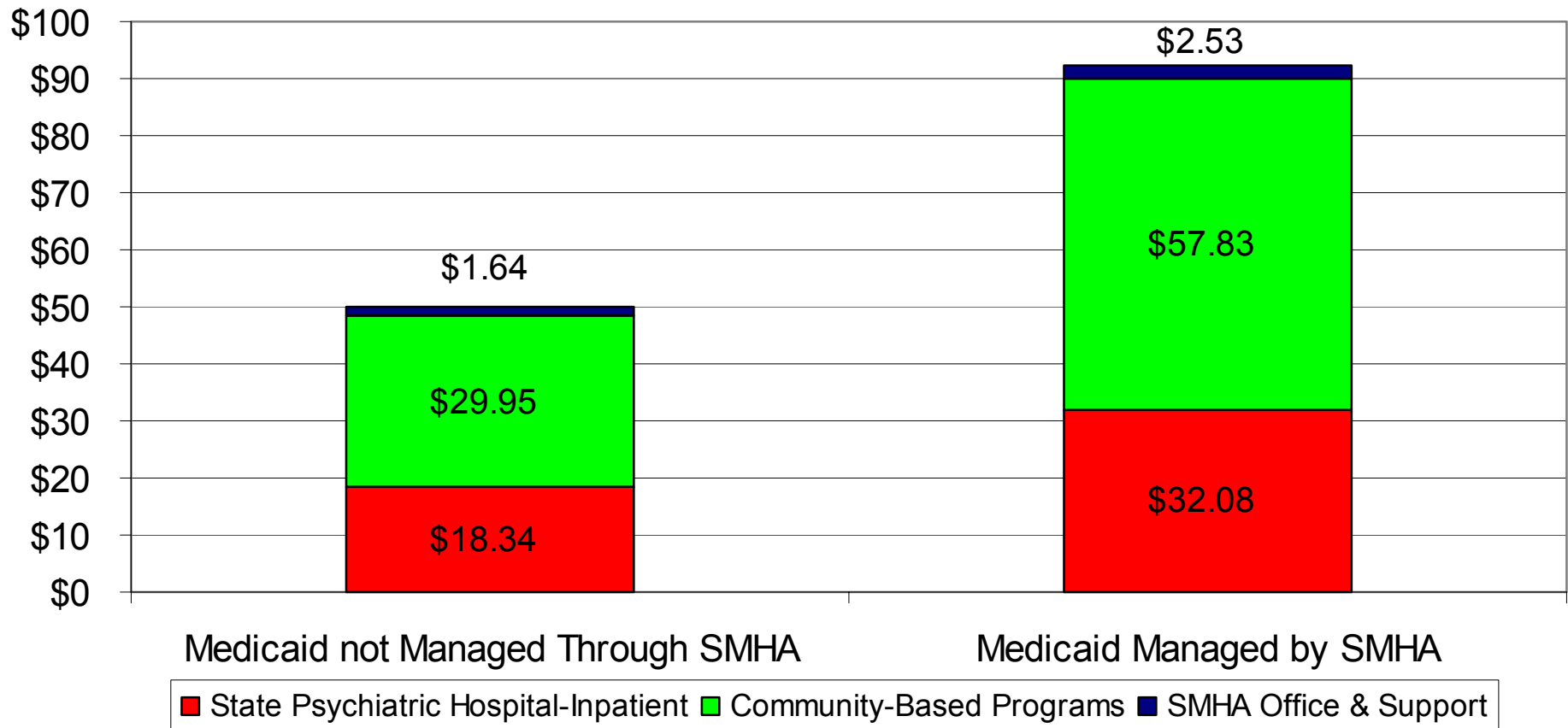
FY'01 SMHA-Controlled Per Capita Mental Health Expenditures, New England States



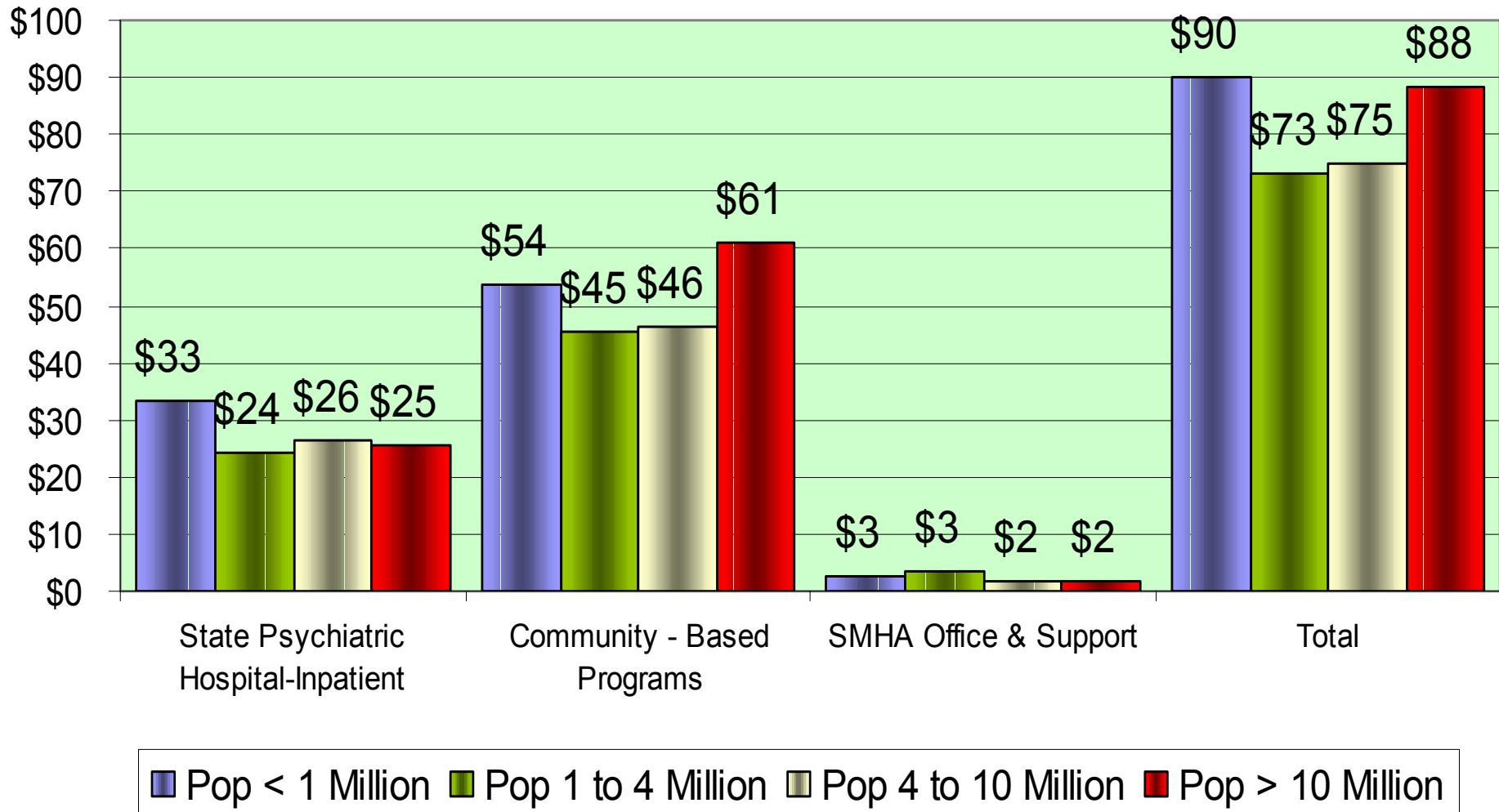
FY'2001 Per Capita Expenditures by How SMHAs Fund Community MH Services



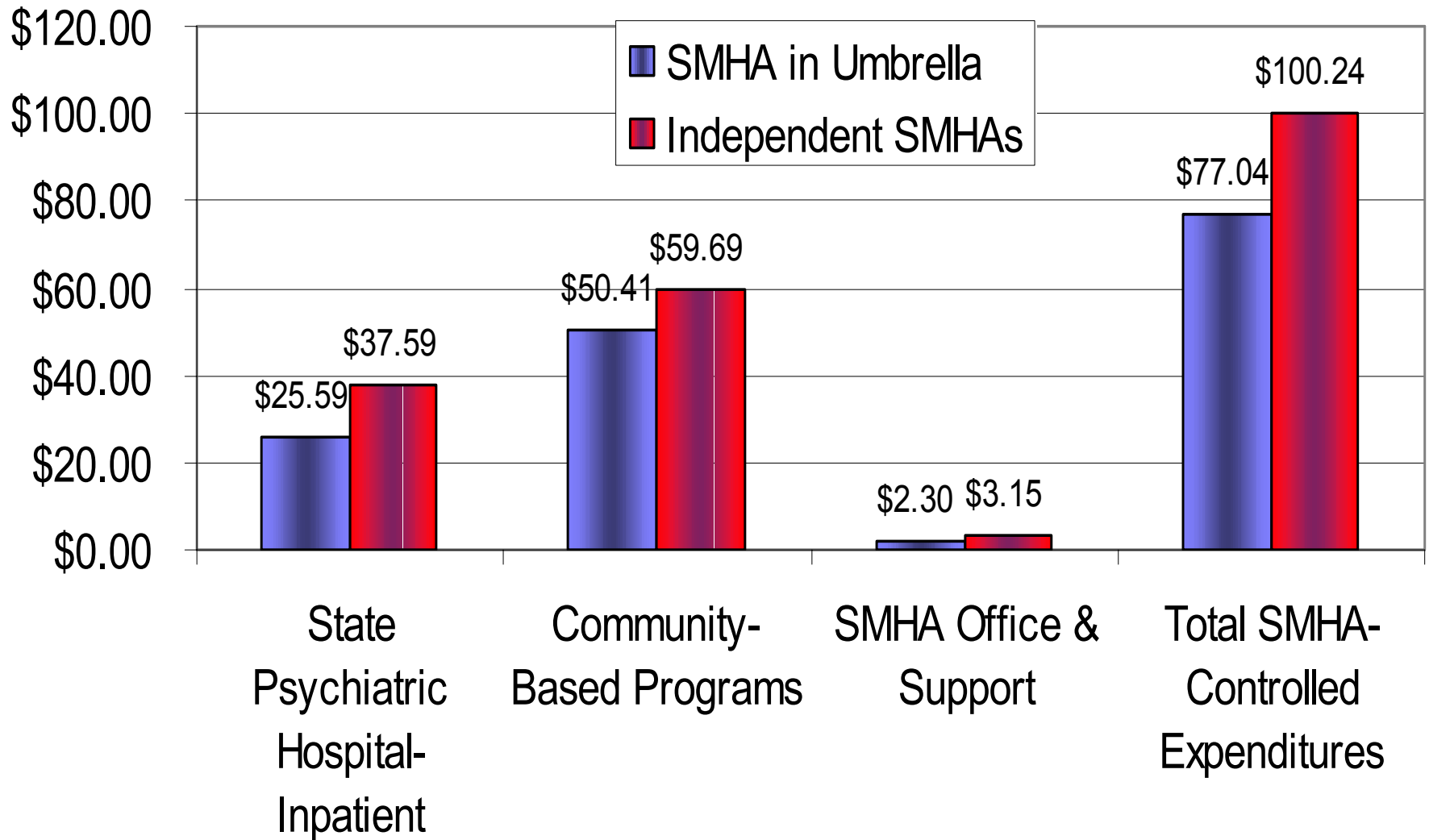
SMHA-Controlled Per Capita Mental Health Expenditures by States where MH Medicaid for Community Programs is “Controlled” by the SMHA: FY 2001



Per Capita FY'01 SMHA-Controlled MH Expenditures, by State Population Size



Per Capita FY'01 SMHA-Controlled MH Expenditures, by SMHA Location in State Government

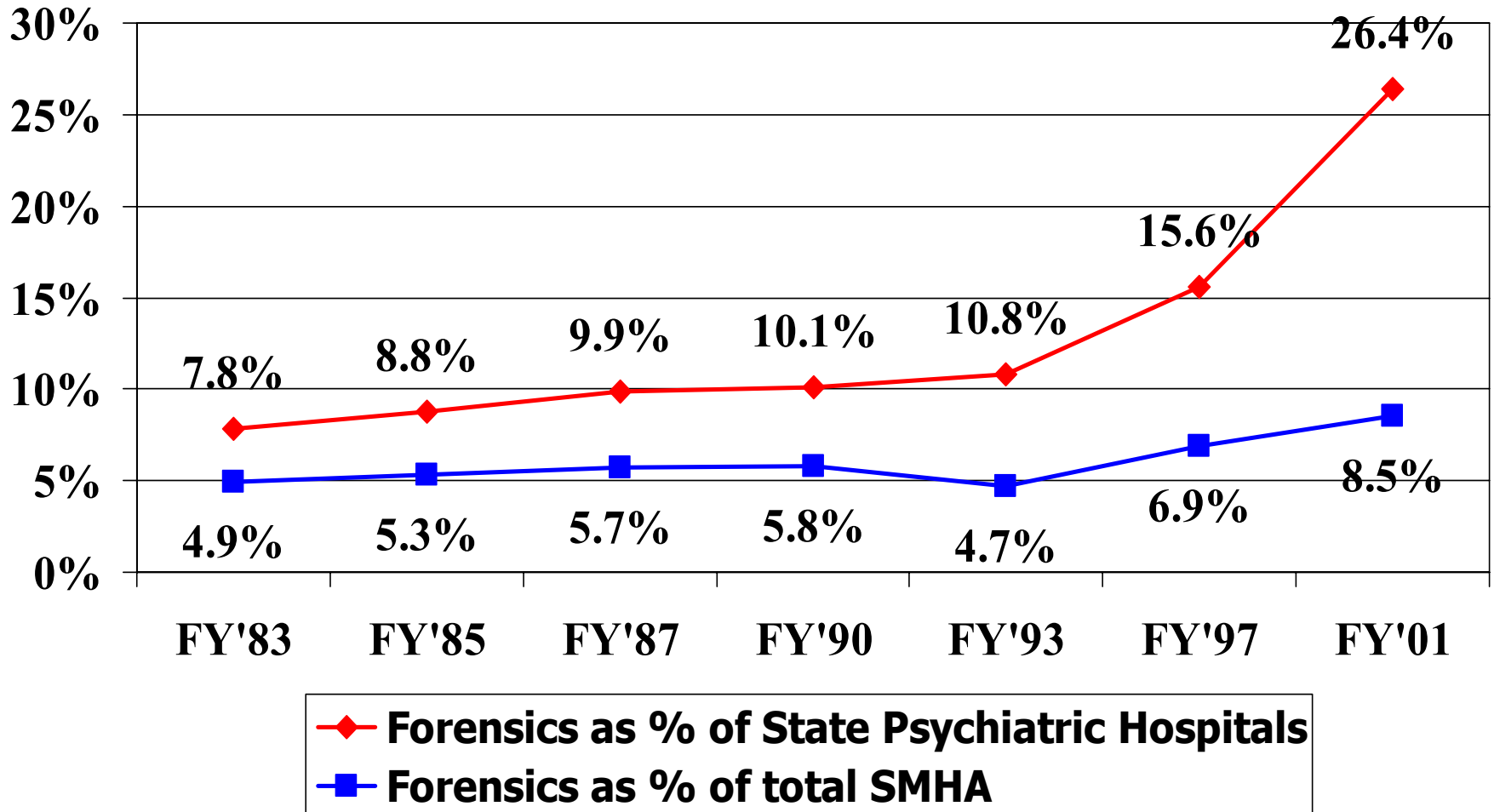


FY'01 SMHA Mental Health

Expenditures for Administration and SMHA Location in Government

- ❖ Independent Departments of Mental Health averaged 3.7% of spending on Central Office Expenses: administration, research, training, etc.
- ❖ Mental Health Agencies in Umbrella Departments averaged 2.6% on Central Office.

Forensic Mental Health Services as a Percent of SMHA-Controlled Expenditures: FY'83 to FY'01



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