



Data Quality and Integrity Technical Assistance Workshop

November 13 – 14, 2008

Conducting Data Audits

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Auditing the Data

- History – Enrollment and payment system
- Required audits to ensure “agreement type” was accurate
- Contract for on-site chart reviews
- Different contract for more in-depth audits



Auditing the Data

- 📄 2 Auditors on-site for 1 day
- 📄 30 clinical records reviewed
- 📄 Provider given notice of which records were being reviewed
- 📄 Auditors given CSDS records
- 📄 Entry and Exit Interviews
- 📄 Formal report of audit findings from DMHA
- 📄 Plan of Correction Required for Error Rate of 10%



Auditing the Data On-Site Information



- 📄 **Medical chart**
- 📄 **HAPI-A**
- 📄 **Source documentation to support the enrollment data**
- 📄 **Billing history or account history from July 1, 2006 - Sept. 30, 2006 that supports service data sent to DMHA (type of services, date of services, amount normally charged for service, number of units)**
- 📄 **Crosswalk from internally generated codes to CPT codes sent to state (if applicable)**

In-Depth Audits



Second audit triggered by:

- **Recommendation of chart review auditors**
- **No improvement from year to year**
- **Consumer complaints**
- **Information that provider may be out of compliance with certification standards**



In-Depth Audits

- Added chart reviews – possibly focused on specific populations
- Policy and Procedure reviews
- Compliance with DMHA Rules
- Compliance with other contract requirements
- May result in repayment of funds and or loss of certification



Future

- 📄 Will be combining the two contracts into one
- 📄 RFP issued and proposals reviewed
- 📄 RFP included minimum vendor requirements, staffing requirements, vendor activities, and minimum reporting requirements



Future – Areas for Review

- 📄 Successful completion of CANS/ANSA SuperUser training required for any reviewer.
- 📄 Review of medical records/data for any DMHA identified data outliers.
- 📄 Review of providers for compliance with certification/contract requirements.
- 📄 Review of gatekeeper documentation.

Future – Areas for Review

- 📄 **Review of Community Readiness Assessment outliers**
- 📄 **Review of CSDS data quality and accuracy.**
- 📄 **Analysis of the completeness of service data by comparing the types of services provided documented in the CSDS and those that are documented in medical records.**



Future – Areas for Review

- 📄 Reviews to assess and test the accuracy of financial, biographical, and service data submitted to FSSA/DMHA through CSDS.
- 📄 Reviews of DMHA assessment tools, to ensure consistency between algorithm level of care results and treatment plan/service data.
- 📄 Reviews of records to ensure reassessments per CSDS manual requirements.

Questions

- ❏ A document with the essential RFP requirements is on the CD
- ❏ Contract management is responsibility of the Office of Provider and Community Relations



Contact Information

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