

A photograph of a winter landscape. A snow-covered road or path leads into a forest of bare trees. The sky is a pale, hazy yellow, suggesting a low sun. The text "THE GREAT STATE OF RHODE ISLAND" is overlaid in the center in a bold, black, sans-serif font.

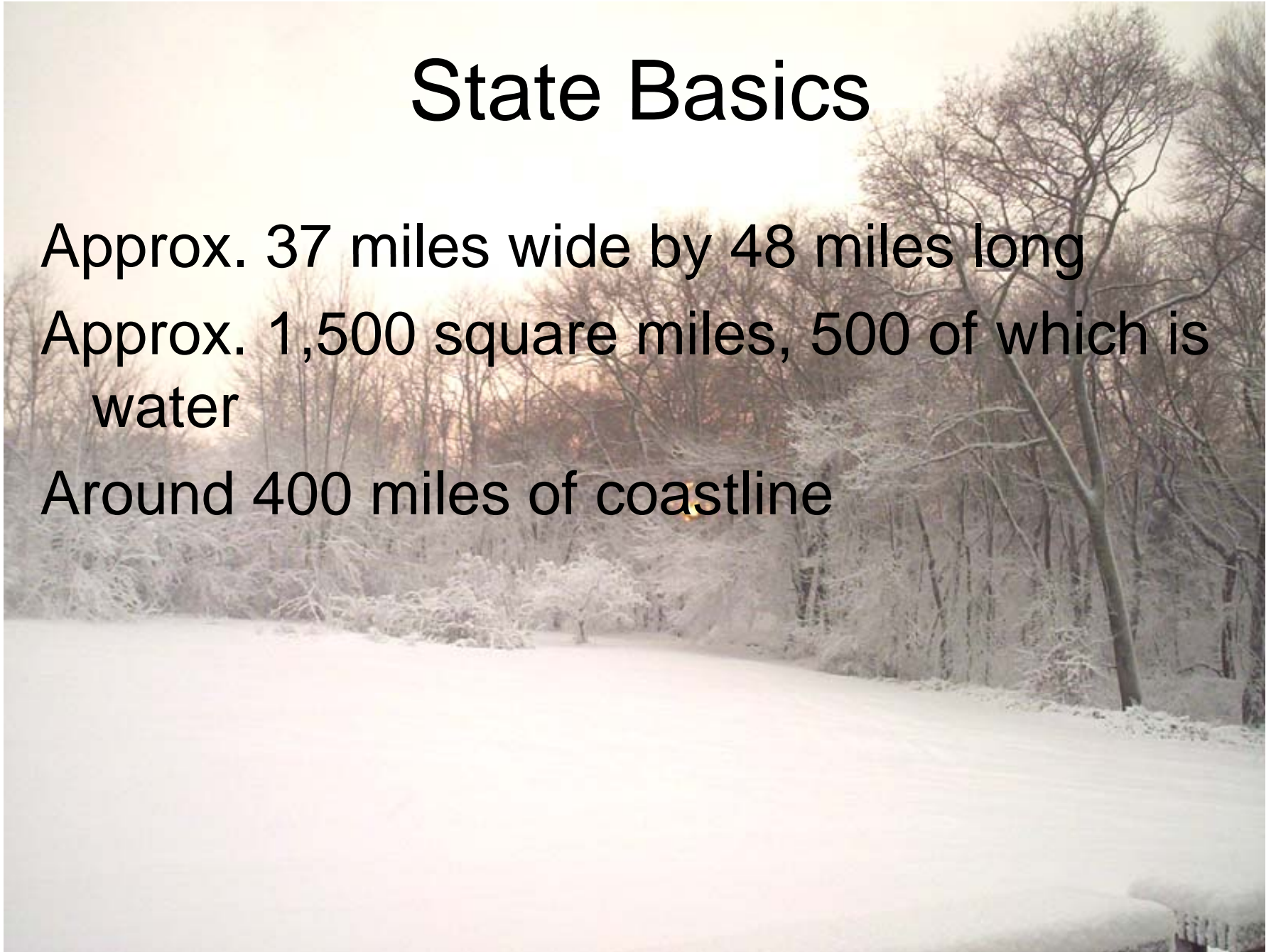
**THE GREAT STATE
OF
RHODE ISLAND**

State Basics

Approx. 37 miles wide by 48 miles long

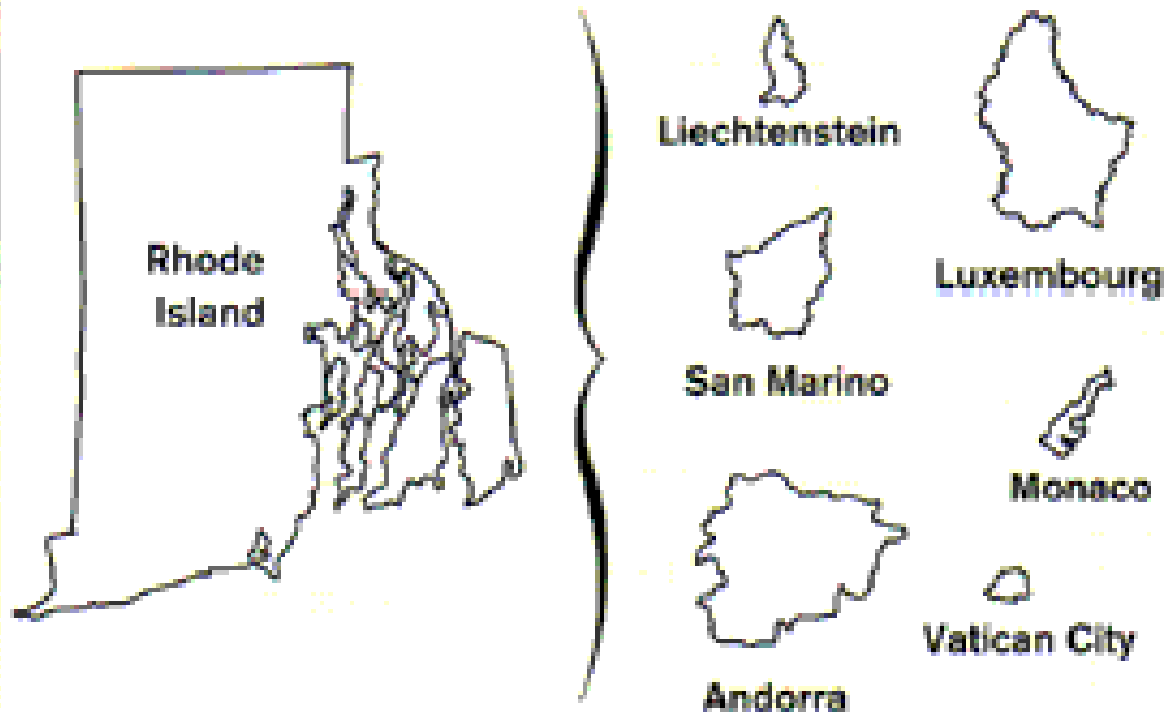
Approx. 1,500 square miles, 500 of which is water

Around 400 miles of coastline



The Ocean State Redemption

Western Europe has not one, not two, but six sovereign nations that could fit into Rhode Island.



RI FAST FACTS

- Population = 1,067,000
- 89% white (US = 80%)
- 14% 65 years and older (US = 12%)
- Total State budget 2009 \$6.889 billion
- About the size of MA's XIX budget in '06
- 38% (\$2.61 billion) into Human Services



DEPARTMENT OF
MENTAL HEALTH,
RETARDATION AND
HOSPITALS

ELEANOR SLATER
GENERAL HOSPITAL

ADULT PSYCHIATRIC
UNIT

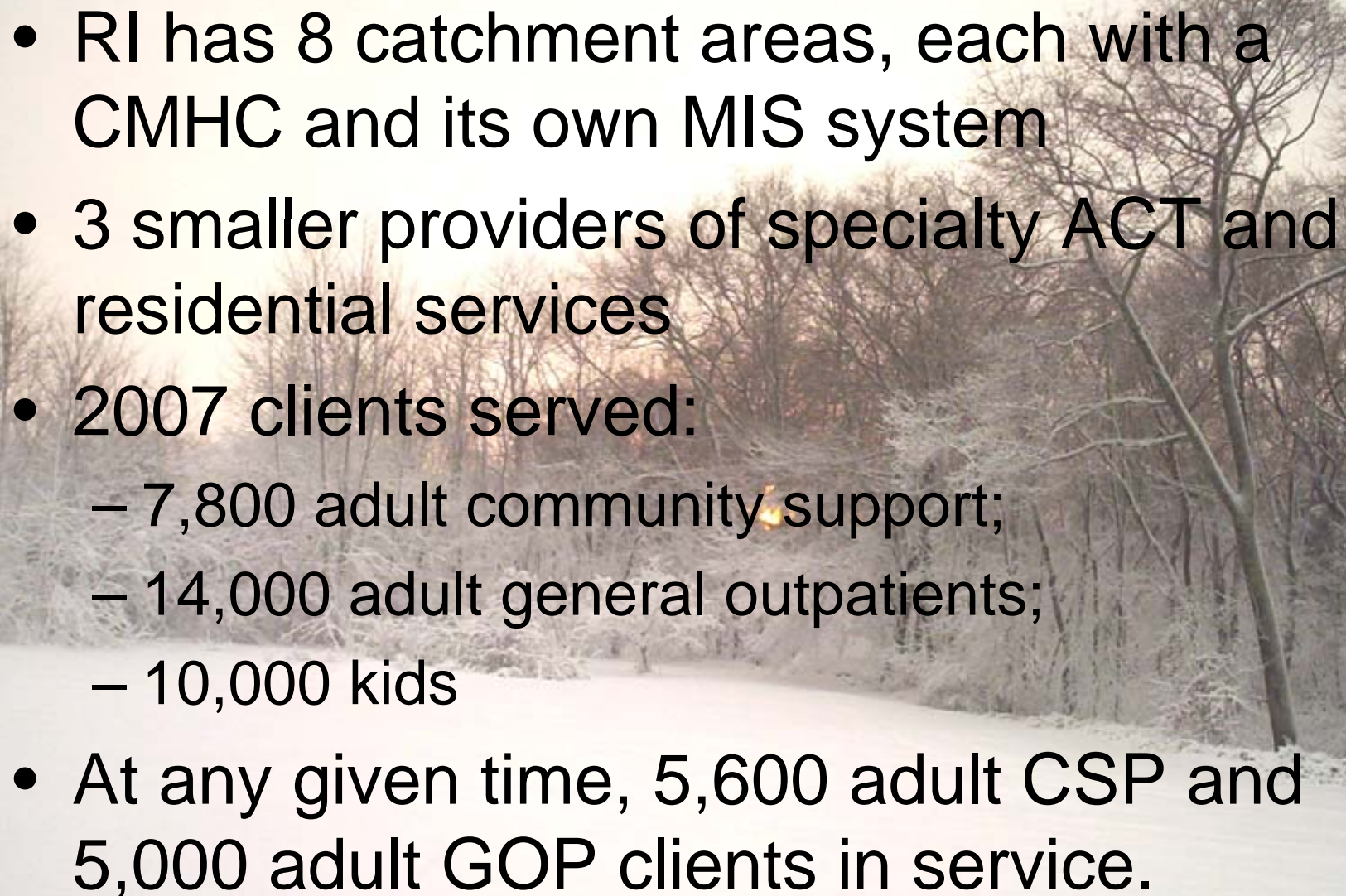
FORENSIC UNIT

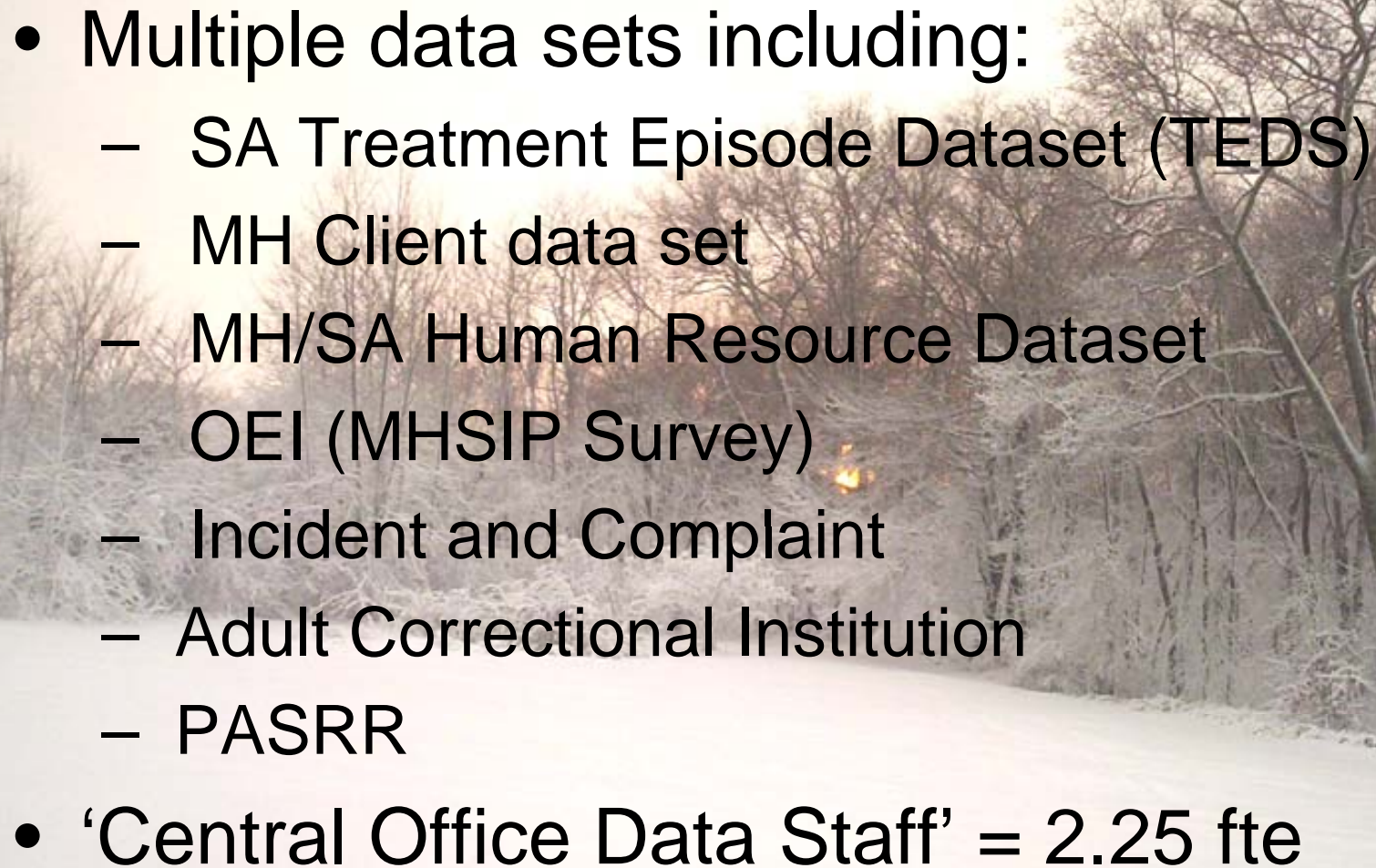
BEHAVIORAL
HEALTHCARE

SUBSTANCE ABUSE

ADULT MENTAL
HEALTH

DEVELOPMENTAL
DISABILITIES

- 
- RI has 8 catchment areas, each with a CMHC and its own MIS system
 - 3 smaller providers of specialty ACT and residential services
 - 2007 clients served:
 - 7,800 adult community support;
 - 14,000 adult general outpatients;
 - 10,000 kids
 - At any given time, 5,600 adult CSP and 5,000 adult GOP clients in service.

- 
- Multiple data sets including:
 - SA Treatment Episode Dataset (TEDS)
 - MH Client data set
 - MH/SA Human Resource Dataset
 - OEI (MHSIP Survey)
 - Incident and Complaint
 - Adult Correctional Institution
 - PASRR
 - ‘Central Office Data Staff’ = 2.25 fte

Scrubbing The Data: RI Human Resources Database

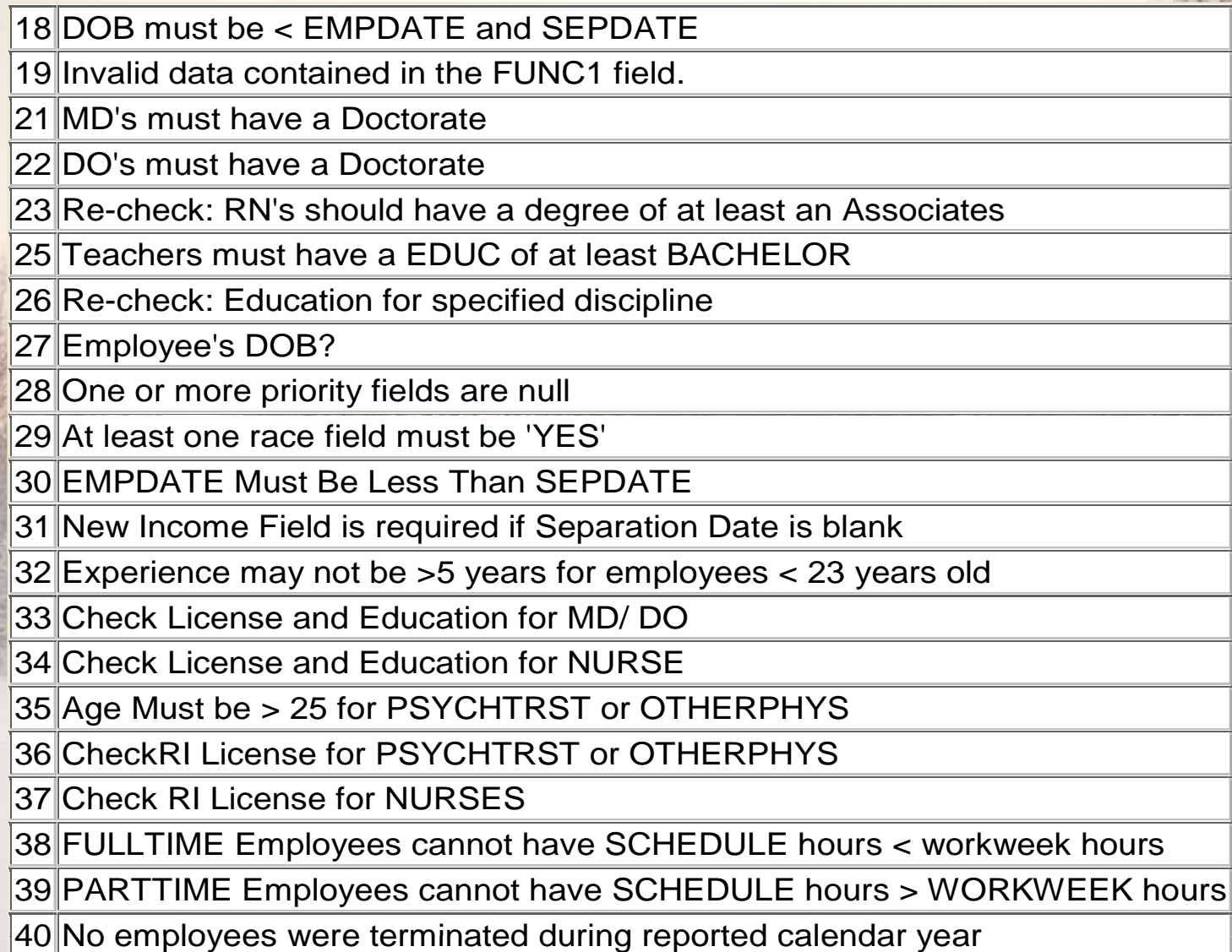
- All MH and SA providers required to submit annual report of HR activity.
 - Approx 2,600 MH; 1,100 SA
- Electronic submission, uniform format.
- HR data dictionary and file layout on resource CD

To Be Sure Data Comes In

- Complete files are due no later than 31 days after the end of the reporting period (January 31st).
- If data is late or does not meet criteria of 95% complete:
 - agency monthly payment suspended until received.
 - non-recoverable penalty = 1% of monthly payment recouped.
 - penalty increases 1% for each consecutive month that subsequent reports are not received/complete.

HR Val Check DescriptionTable

QueryNum	Description
1	SID must have 9 digits
2	IF BILINGA is NA, BILINGB must be NA
3	IF RILICA is NA, RILICB must be NA
4	IF RILICA is NA, RILICC must be NA
5	Physicians and Psychiatrists must have a DOCTORATE
6	Re-check Fringe (>30%)
7	SEPDATE < EMPDATE
8	NINCOME < INCOME
9	Workweek field must be 00.0 or blank if EMPSTAT is STUDENT, VOLUNTEER or ONCALL
11	Re-check: Schedule hours <35 for full time employee
12	Re-Check: Schedule hours >=35 for part time employee
13	Invalid data contained in the EMPSTAT field
14	Re-Check: Should employee have a RILICA due to DISCPL?
16	Re-check INCOME for employee
17	Re-Check: Should an employee with a DISCPL of ADMINMNG or MEDRECADMIN have a FUNC1 of CLIENTCARE?



18	DOB must be < EMPDATE and SEPDATE
19	Invalid data contained in the FUNC1 field.
21	MD's must have a Doctorate
22	DO's must have a Doctorate
23	Re-check: RN's should have a degree of at least an Associates
25	Teachers must have a EDUC of at least BACHELOR
26	Re-check: Education for specified discipline
27	Employee's DOB?
28	One or more priority fields are null
29	At least one race field must be 'YES'
30	EMPDATE Must Be Less Than SEPDATE
31	New Income Field is required if Separation Date is blank
32	Experience may not be >5 years for employees < 23 years old
33	Check License and Education for MD/ DO
34	Check License and Education for NURSE
35	Age Must be > 25 for PSYCHTRST or OTHERPHYS
36	CheckRI License for PSYCHTRST or OTHERPHYS
37	Check RI License for NURSES
38	FULLTIME Employees cannot have SCHEDULE hours < workweek hours
39	PARTTIME Employees cannot have SCHEDULE hours > WORKWEEK hours
40	No employees were terminated during reported calendar year

USE THE DATA!!

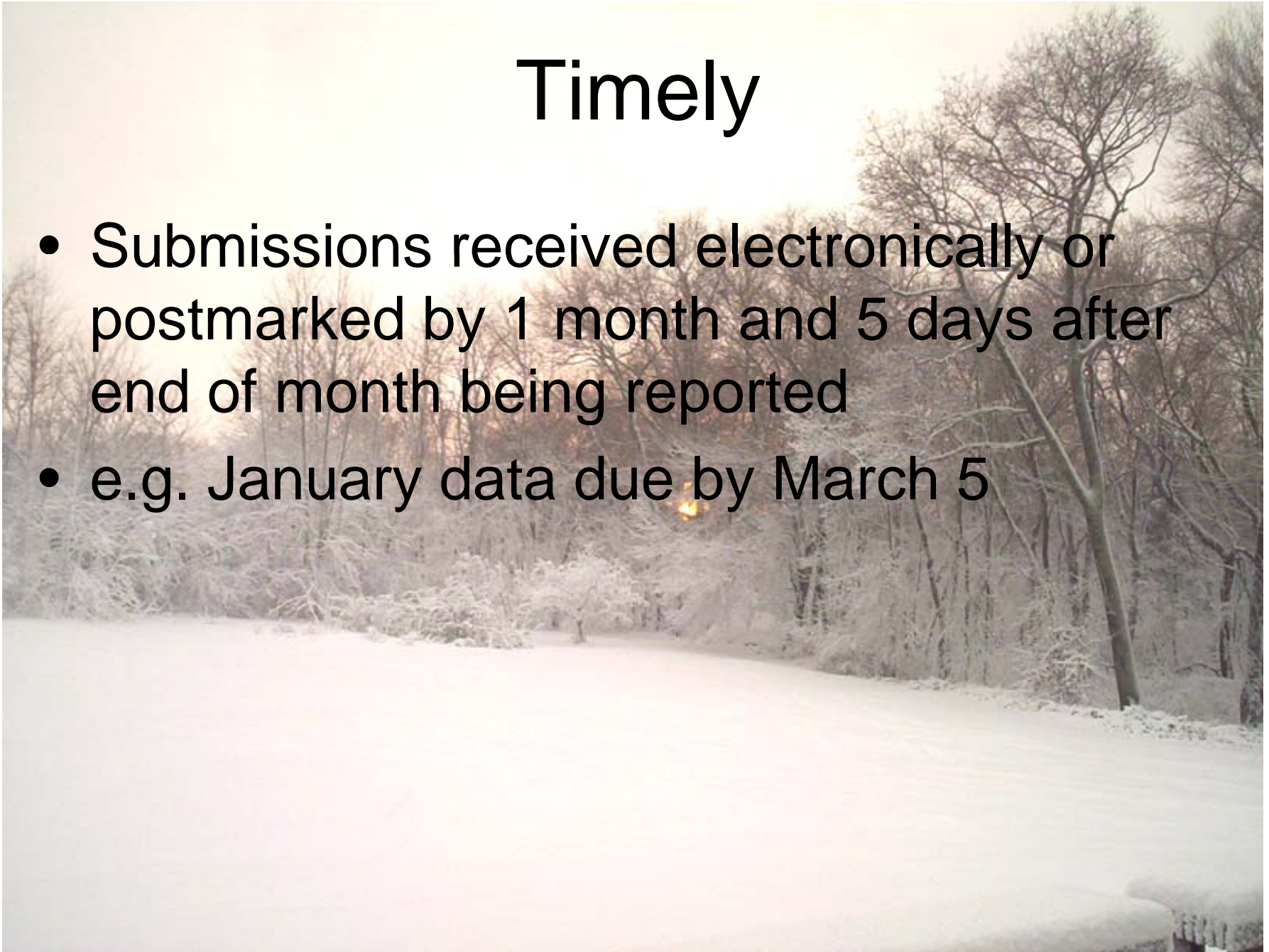
- About half of the checks shown resulted from problems found when USING the data:
 - General reports such as tenure and turnover
 - Fee setting.
- Things change! Analyze each year
 - Example: One agency this year reported no terminations but have no idea why or how.
- A sample report on tenure and turnover generated from the dataset is on the resource CD.

Conducting Data Audits MHSIP Client Database

- MHSIP Client Data must be:
 - Timely
 - Complete
 - Current
- It must also pass basic data integrity checks.
- Client data dictionary on resource CD

Timely

- Submissions received electronically or postmarked by 1 month and 5 days after end of month being reported
- e.g. January data due by March 5



Complete

- Level I fields complete on 95% of CSP records; 90% of GOP/CIS records; and 75% of Contacts.
- Level II fields complete on 90% of the CSP records to which the field applies.
- Field listing on resource CD

Penalty

- If data is late or does not meet completion criteria
 - agency monthly payment suspended until received.
 - non-recoverable penalty = 1% of monthly payment recouped.
 - penalty increases 1% for each consecutive month that subsequent reports are not received/complete.

Current

- Current info in 95% of priority fields
- 'Current' defined as:
 - Level I: remain mostly static over time. In general, updating at discharge is enough.
 - Level II: must be changed at each 6-month treatment plan review of applicable

Auditing 'Current'

- DBH conducts site visits combining:
 - Medicaid clinical record review;
 - DBH contract monitoring;
 - Licensing and program certification;
 - Incident and complaint review;
 - Data audit.

Data Audit

- Single random sample of client unique IDs pulled to be used in all audit processes.
- Sheet w/priority fields and contents printed
- Data auditor fills multiple roles
 - Reviews 70+ question Medicaid forms filled out by clinical staff;
 - Tracks back through client treatment plan and other agency records to verify Client data.
 - Checks offer/completion dates of OEI in record.

Sample Audit Sheet: RI Client Data Set

Client Database Level I and II fields		OID
CID	99999999	ABC
RECNUM	00009999	
MODE	CSP	
RPTDATE	1/31/2007	
Level I Fields (check for All Clients)		
FTRDATE	1/10/2005	<input type="checkbox"/>
BSDATE		<input type="checkbox"/>
GENDER	FEMALE	<input type="checkbox"/>
DOB	12/17/1971	<input type="checkbox"/>
AMERNAT	NO	<input type="checkbox"/>
ASIAN	NO	<input type="checkbox"/>
HAWPACF	NO	<input type="checkbox"/>
BLACK	YES	<input type="checkbox"/>
WHITE	NO	<input type="checkbox"/>
HISPANC	NO	<input type="checkbox"/>
OTHER	NO	<input type="checkbox"/>
Level II Fields (check for CSP/MTI/MHPRR clients only)		
Axis I and II Diagnoses		
AXI	296.32 PARANOID SCHIZO-CHRONIC	<input type="checkbox"/>
AXI2	304.80 (COMB DRUG DEP NEC-UNSP)	<input type="checkbox"/>
AXI3	000.00 (Unknown)	<input type="checkbox"/>
AXI1	000.00 (Unknown)	<input type="checkbox"/>
AXI2	000.00 (Unknown)	<input type="checkbox"/>
Target Conditions		
ALCOHOL	YES	<input type="checkbox"/>
DRUGS	YES	<input type="checkbox"/>
SMOKING	YES	<input type="checkbox"/>
HYPERTENSI	NO	<input type="checkbox"/>
HEPATITIS	NO	<input type="checkbox"/>
VIRALINFECT	NO	<input type="checkbox"/>
HYPERCHOLESTEROLEMIA	NO	<input type="checkbox"/>
OBESITY	NO	<input type="checkbox"/>
DIABETES	NO	<input type="checkbox"/>
ASTHMA	NO	<input type="checkbox"/>
COPD	NO	<input type="checkbox"/>
GAF SCORE	35	<input type="checkbox"/>
Residential Living Arrangement		
RESARR	PRIVATE	<input type="checkbox"/>
LIVARR	NONRELAT	<input type="checkbox"/>
Employment Status and Type		
EMPSTAT	LOOKING	<input type="checkbox"/>
EMPTYPE	NA	<input type="checkbox"/>
Axis IV		
AXIVSUP	YES	<input type="checkbox"/>
AXIVSOC	YES	<input type="checkbox"/>
AXIVEDUC	YES	<input type="checkbox"/>
AXIVDCC	YES	<input type="checkbox"/>
AXIVHDS	YES	<input type="checkbox"/>
AXIVCON	YES	<input type="checkbox"/>
AXIVNC	YES	<input type="checkbox"/>
AXIVLEG	YES	<input type="checkbox"/>
AXIVENV	YES	<input type="checkbox"/>
SS Eligibility and Primary/Secondary Payment Sources		
SSELNIB	RECEIVE	<input type="checkbox"/>
PRMPNT	MEDICAID	<input type="checkbox"/>
SECPMNT	RITECARE	<input type="checkbox"/>
Preliminary Information Only		
PRIMPROB1	THOUGHT	<input type="checkbox"/>
PRIMPROB2	NA	<input type="checkbox"/>
EDUC	SOMEHS	<input type="checkbox"/>
LEVEL I Fields Number of Mismatches ___ out of 15		
LEVEL I Fields Number of Missing ___ out of 15		
LEVEL II Fields Number of Mismatches ___ out of 33		
LEVEL II Fields Number of Missing ___ out of 33		

- Results are checked w/agency during exit interview.
- Report and letter of commendation or recoupment generated and transmitted within 10 days after visit.

