

State: _____ Contact: _____

2006 STATE MENTAL HEALTH AGENCY PROFILING SYSTEM

The State Mental Health Agency (SMHA) Profiling System has been developed by the National Association of State Mental Health Program Directors Research Institute (NRI) in collaboration with NASMHPD and the State Mental Health Agencies. The SMHA Profiling System is supported by a contract from the Division of State and Community Systems Development, Center for Mental Health Services (CMHS) (contract No. 280-03-3203). This cycle updates information compiled from the States two years ago and expands systems knowledge in areas where substantial requests for additional information have been received from the States. New this cycle is web-based data entry. The components can be completed on our web-site: <http://nri-inc.org> or by sending back completed paper sections of the Profiles.

The purpose of the SMHA Profiling System is to develop and maintain a centralized, computer-based compilation of descriptive information about the organization, funding, operation, services, policies, statutes, staffing, and consumers of SMHAs. The Profiling System was originally proposed by the SMHA directors as a mechanism to systematize the compilation, storing, and retrieval of information about SMHAs, to facilitate its acquisition and use, and to minimize the burden on States in responding to periodic ad hoc surveys from NASMHPD and others. This cycle builds upon the content of prior cycles to update information. Once the data is collected, it will be available on our web-site: <http://nri-inc.org>.

Wherever data are available from existing sources, such as the U.S. Census, the NRI SMHA Revenue/Expenditure study, and the CMHS Inventory of Mental Health Organizations, such data will be incorporated into the Profiling System so as to minimize the data compilation required of the States.

The information compiled through this cycle of the Profiles project will be available to SMHAs and the general public in several formats. The information will be electronically available to users via computers via the Internet. SMHA employees will also be able to call the NRI to request information from the Profiles and special analyses will be prepared for the States. Please return the completed Component to the NRI by April 3, 2006.

IX. Managed Behavioral Healthcare Component

This component contains information on managed care initiatives including Medicaid Waivers being undertaken by each state. Please respond to this component for any Medicaid Waivers or other managed care initiatives that your state has that provide mental health or behavioral health (mental health and substance abuse services).

Please respond to each question as thoroughly as possible. Please report data for your current activities or your most recently completed fiscal year (FY 2005). When data are not available, please indicate this on the form and continue to the next question. Please direct any questions you have to Robert Shaw or Ted Lutterman at 703-739-9333 or e-mail us (robert.shaw@nri-inc.org or ted.lutterman@nri-inc.org).

MANAGED BEHAVIORAL HEALTHCARE COMPONENT

Definitions appropriate to the Managed Behavioral Healthcare Component:

Managed Care Practices – Includes contracting with MCO, ASO, HMOs, etc. regardless of Medicaid waivers for the provision of mental health or behavioral health services for a defined population.

1. Is your State using managed care practice to provide behavioral health services?

- a. Yes, both mental health and substance abuse services are being delivered via managed care
- b. Yes, but only mental health services are being delivered via managed care
- c. Yes, but only substance abuse services are being delivered via managed care
- d. No, neither mental health or substance abuse are being delivered via managed care;

If NO, please complete the "Contact Person" information on the last page and return to NRI.

2. If yes (1 a, b, or c above), are these behavioral health services administered through: (Check all that apply)

- a. Medicaid Research and Demonstration (1115) Waiver;

If so, please answer questions 3-14 below

- b. Medicaid 1915(b) Waiver;

If so, please answer questions 3-14 below

- c. Medicaid Home and Community-Based Waiver;

- d. Other: such as using managed care practices with State dollars (for example the SMHA may be acting as an Administrative Services Organization (ASO) for mental health):

If so, please describe: _____

3. Please indicate the status of any Medicaid Waivers used to provide behavioral health services: (Check all that apply)

a. Research and Demonstration (1115) Medicaid waiver(s)

- 1. Preparing application
- 2. Pending application: Anticipated start date: _____
- 3. Approved application: Start date: _____

b. 1915(b) Medicaid waiver(s)

- 1. Preparing application
- 2. Pending application: Anticipated start date: _____
- 3. Approved application: Start date: _____

4. Describe the SMHA’s role in monitoring and managing the mental health/behavioral health benefits:

	SMHA has lead responsibility	SMHA jointly responsible with Medicaid Agency	SMHA has no responsibility
a. Designing the Mental Health Benefit System	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. Writing waiver	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c. Contracting	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d. Monitoring	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e. Evaluating	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f. SMHA serves as Managed Care Agent	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

5. Are mental health benefits being carved-in with general health or are they carved-out of the basic benefit plan?

- a. Carved in: provided by the primary health care provider networks or health maintenance organization (HMO)
- b. Carved-out to a specialty behavioral health care network or managed behavioral health organization

6. Approximately how many persons received behavioral health services under the managed care plan last year? _____

- a. How many persons were covered under the plan? _____

7. What types of services are covered under the managed behavioral healthcare benefit package? (Check all that apply)

- a. Acute Hospitalization
- b. Long-term Hospitalization
- c. Crisis Residential
- d. Residential Treatment Centers
- e. Day Treatment/Partial Hospitalization
- f. Psychosocial rehabilitation
- g. Outpatient Therapy
- h. Emergency/Crisis Services
- i. Assessment/Diagnosis
- j. Treatment Planning
- k. Prescription Drugs for Mental Health
- l. Intensive In-home Services
- m. Medication Administration & Monitoring
- n. Peer Support
- o. Consumer Run Services
- p. Wrap-around Services:(e.g. housing, employment, etc) Specify: _____
- q. Other _____

Please provide the following information for the contact person who completed this component:

Name: _____

Title: _____

Address: _____

City: _____ **State:** _____ **Zip:** _____

Telephone: _____ **Fax:** _____

E-mail: _____

When this component is completed, you may enter the data on-line at <http://www.nri-inc.org> (a report will be sent to the commissioner) or you may return it to the State Mental Health Director's office.

Commissioner Sign off _____

Each State Director's office should collect all of the components, review them for content, and forward them to:

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