

State: \_\_\_\_\_ Contact: \_\_\_\_\_

## 2006 STATE MENTAL HEALTH AGENCY PROFILING SYSTEM

The State Mental Health Agency (SMHA) Profiling System has been developed by the National Association of State Mental Health Program Directors Research Institute (NRI) in collaboration with NASMHPD and the State Mental Health Agencies. The SMHA Profiling System is supported by a contract from the Division of State and Community Systems Development, Center for Mental Health Services (CMHS) (contract No. 280-03-3203). This cycle updates information compiled from the States two years ago and expands systems knowledge in areas where substantial requests for additional information have been received from the States. New this cycle is web-based data entry. The components can be completed on our web-site: <http://nri-inc.org> or by sending back completed paper sections of the Profiles.

The purpose of the SMHA Profiling System is to develop and maintain a centralized, computer-based compilation of descriptive information about the organization, funding, operation, services, policies, statutes, staffing, and consumers of SMHAs. The Profiling System was originally proposed by the SMHA directors as a mechanism to systematize the compilation, storing, and retrieval of information about SMHAs, to facilitate its acquisition and use, and to minimize the burden on States in responding to periodic ad hoc surveys from NASMHPD and others. This cycle builds upon the content of prior cycles to update information. Once the data is collected, it will be available on our web-site. Data from earlier cycles are available now at: <http://www.nri-inc.org>.

Wherever data are available from existing sources, such as the U.S. Census, the NRI SMHA Revenue/Expenditure study, and the CMHS Inventory of Mental Health Organizations, such data will be incorporated into the Profiling System so as to minimize the data compilation required of the States.

The information compiled through this cycle of the Profiles project will be available to SMHAs and the general public in several formats. The information will be electronically available to users via computers via the Internet. SMHA employees will also be able to call the NRI to request information from the Profiles and special analyses will be prepared for the States. Please return the completed Component to the NRI by April 3, 2006.

## X. Research and Evaluation Component

Research and evaluation are emerging as essential functions of SMHAs. Currently, most States directly and/or indirectly support mental health services and applied research, and some States support clinical research as well. Much of this research is carried out through State and academic collaborations. Program evaluation is a critical management function which formally assesses the extent to which planning objectives are being attained. Many federally funded demonstrations within State systems require evaluations. The Research and Evaluation Component of the SMHA Profiling System has been designed to describe the organizational focus of these functions and their funding and staffing levels.

Please respond to each question as thoroughly as possible. Please report data for your current activities or your most recently completed fiscal year (FY 2005). When data are not available, please indicate this on the form and continue to the next question. Please direct any questions you have to Robert Shaw or Ted Lutterman at 703-739-9333 or e-mail us ([robert.shaw@nri-inc.org](mailto:robert.shaw@nri-inc.org), [ted.lutterman@nri-inc.org](mailto:ted.lutterman@nri-inc.org)).

## **Definitions appropriate to the Research/Evaluation Component:**

**SMHA** -- According to National Association of State Mental Health Program Directors (NASMHPD), the State Mental Health Agency (SMHA) is defined as the state agency which the Director/Commissioner who represents the State to NASMHPD has authority. If your State has placed the control of State mental hospitals and community mental health programs into two separate agencies, please respond for the agency with the community responsibilities (e.g., Wisconsin - Office of Mental Health or Colorado - Division of Mental Health).

**Research** -- Research is defined as work oriented toward the development of generalizable knowledge. It is interpretive and analytic in focus. For the most part, research should be of substantive interest outside your agency; however, SMHA internal policy and applied research are encompassed in this component of the SMHA Profiling System. The State profile should include applied services research and clinical research.

**Evaluation** -- Evaluation is analytical in nature and focused on understanding the extent to which an identified program, service, or demonstration meets its stated goals or objectives. Routine statistical reports which include only brief descriptive narratives are **not** included as evaluations.

**State Funds** -- State funds represent funds from State money appropriated to support the functions of the SMHA and its service provider organization.

**Federal Funds** -- Federal funds represent all federal money (grants and contracts) that support specific research and evaluation projects through the SMHA.

**Other Funds** -- Other money that supports research and evaluation, such as foundations.

*☞ If SMHA definitions of research and evaluation are not consistent with those above, please attach your SMHA definitions (or FAX them to Robert Shaw at (703) 548-9517.)*

## RESEARCH AND EVALUATION COMPONENT-

1. **What is (are) the organizational location(s) of research for the SMHA?** (*Check location(s) and specify where*)
  - a.  Outside the SMHA    **If outside**, (specify) \_\_\_\_\_
  - b.  Within the SMHA    **If within** the SMHA, (specify) \_\_\_\_\_
  - c.  No research
  
2. **What is (are) the organizational location(s) of evaluation for the SMHA?** (*Check location(s) and specify where*)
  - a.  Outside the SMHA    **If outside**, (specify) \_\_\_\_\_
  - b.  Within the SMHA    **If within** the SMHA, (specify) \_\_\_\_\_
  - c.  No evaluation
  
3. **Does the SMHA conduct research/evaluations in the following areas:**
  - a.  Expenditures by outcomes achieved (e.g., cost/benefit studies, cost effectiveness studies)
  - b.  Client penetration rates
  - c.  Service activity utilization rates
  - d.  Change in functioning and service utilization
  - e.  Client outcomes

### Other Evaluation/Research Activities

4. **Does the SMHA support:**
  - a.  SMHA-operated Research Center/Institute?  
If yes, what is/are the name(s) of the Research Center/Institute(s)? \_\_\_\_\_  
\_\_\_\_\_
  - b.  State-funded (but not operated) Research Center/Institute?  
If yes, what is/are the name(s) of the Research Center/Institute(s)? \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
  
5. **Does the SMHA produce a directory of research and/or evaluation projects?**  
 Yes             No
  - a. If yes, how frequently is the directory available?  
 Quarterly    Semi-Annually     Annually     Biannually     Other \_\_\_\_\_

**Please provide the following information for the contact person who completed this component:**

**Name:** \_\_\_\_\_

**Title:** \_\_\_\_\_

**Address:** \_\_\_\_\_

**City:** \_\_\_\_\_ **State:** \_\_\_\_\_ **Zip:** \_\_\_\_\_

**Telephone:** \_\_\_\_\_ **Fax:** \_\_\_\_\_

**E-mail:** \_\_\_\_\_

**When this component is completed, you may enter the data on-line at <http://www.nri-inc.org> (a report will be sent to the commissioner) or you may return it to the State Mental Health Director's office.**

<b>Commissioner Sign off</b> _____
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Each State Director's office should collect all of the components, review them for content, and forward them to:

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66 Canal Center Plaza, Suite 302  
Alexandria, VA 22314  
Phone: (703) 739-9333  
Fax: (703) 548-9517